



Train Verification and Control Envelope Synthesis

Aditi Kabra

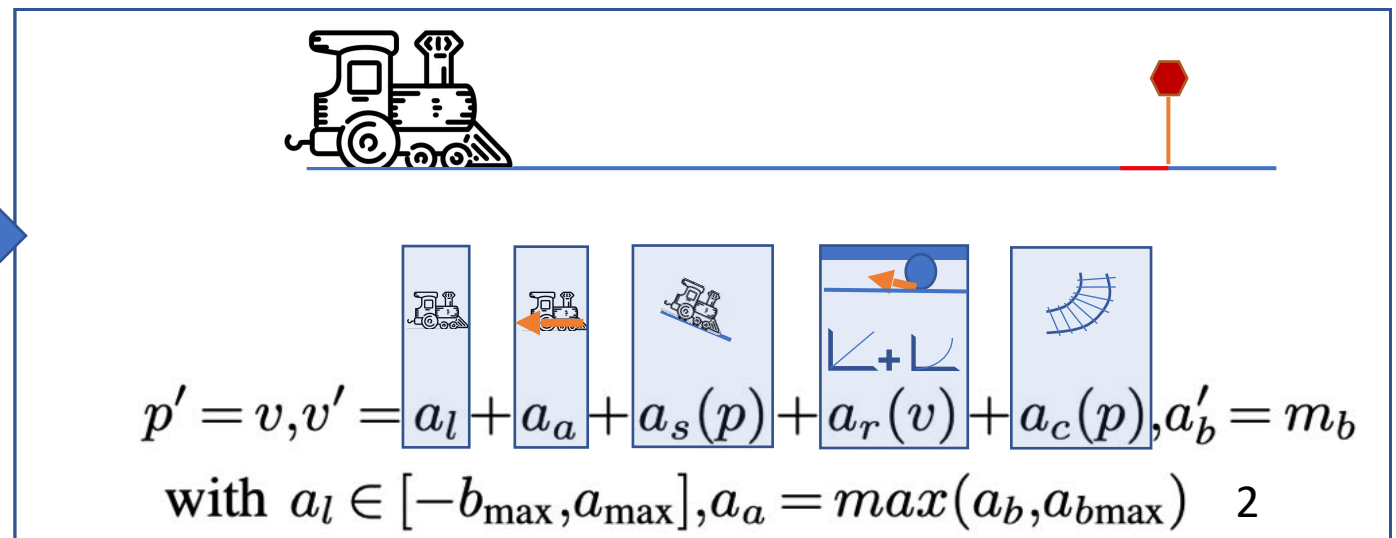
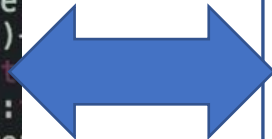
Computer Science Department,
Carnegie Mellon University

KIT 06/2023

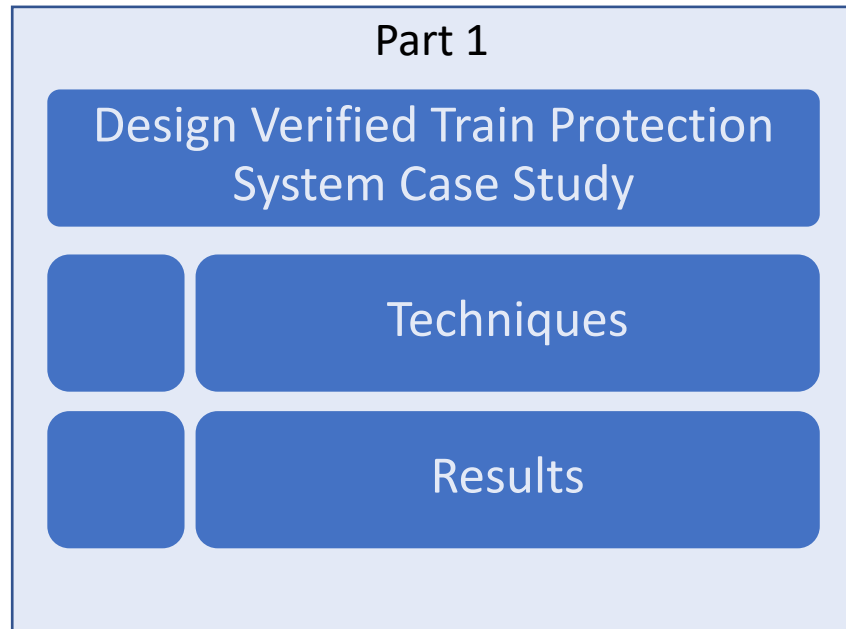
Cyber Physical Systems



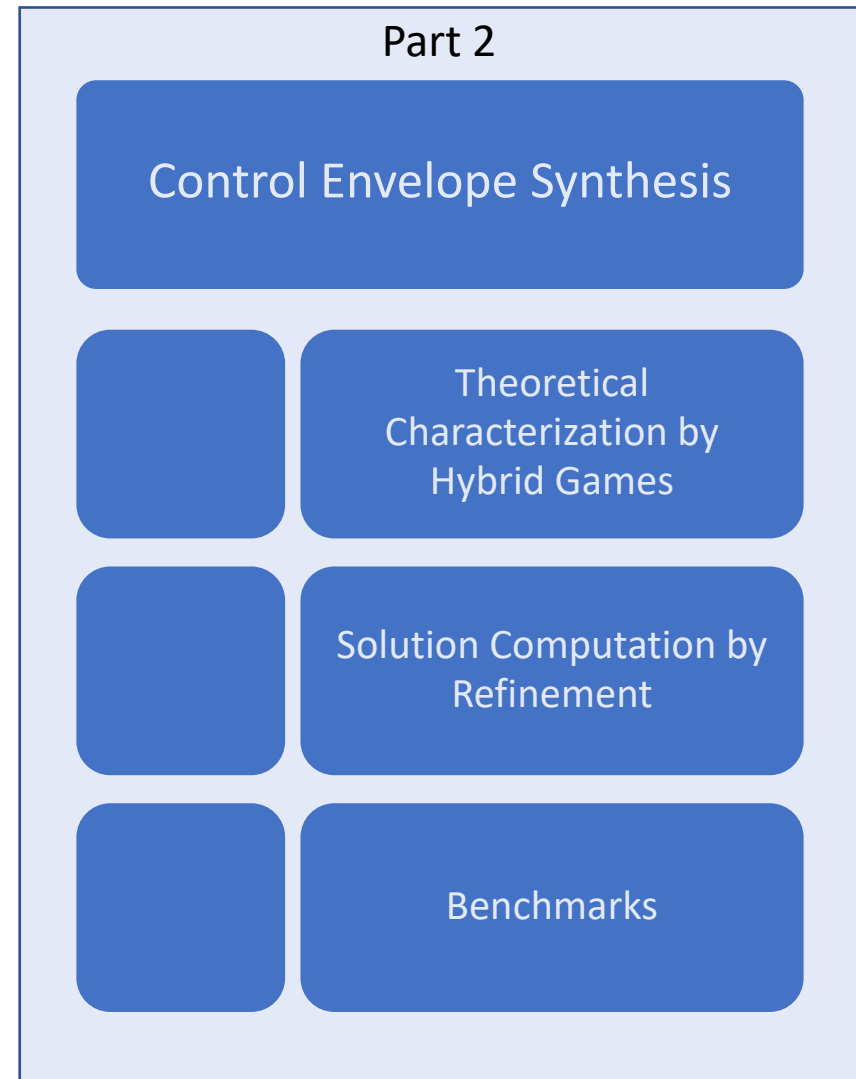
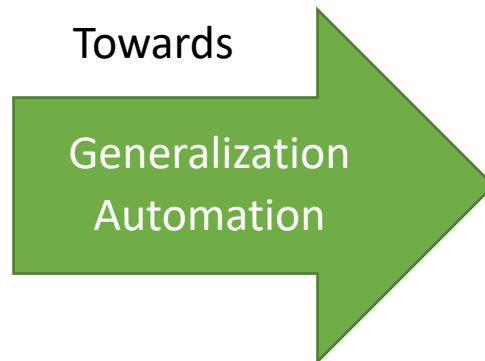
```
attachEvent("onreadystatechange",H),e.attachE
boolean Number String Function Array Date RegE
_={};function F(e){var t=_[e]={};return b.ea
t[1])===!1&&e.stopOnFalse){r=!1;break}n=!1,u&
?o=u.length:r&&(s=t,c(r))}return this},remove
nction(){return u=[],this},disable:function()
re:function(){return p.fireWith(this,argument
ending",r={state:function(){return n},always:
promise)?e.promise().done(n.resolve).fail(n.re
id(function(){n=s},t[1^e][2].disable,t[2][2].
=0,n=h.call(arguments),r=n.length,i=1!==r|e&
(r),l=Array(r);r>t;t++)n[t]&&b.isFunction(n[t
/><table></table><a href='/a'>a</a><input typ
/TagName("input")[0],r.style.cssText="top:1px
test(r.getAttribute("style")),hrefNormalized:
```



Overview



Human Effort Intensive



Pt 1: Verified Train Controllers for the Federal Railroad Administration Train Kinematics

Model:

Balancing Competing Brake and Track Forces

Aditi Kabra

Stefan Mitsch

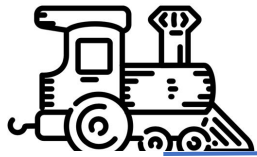
André Platzer

EMSOFT 2022



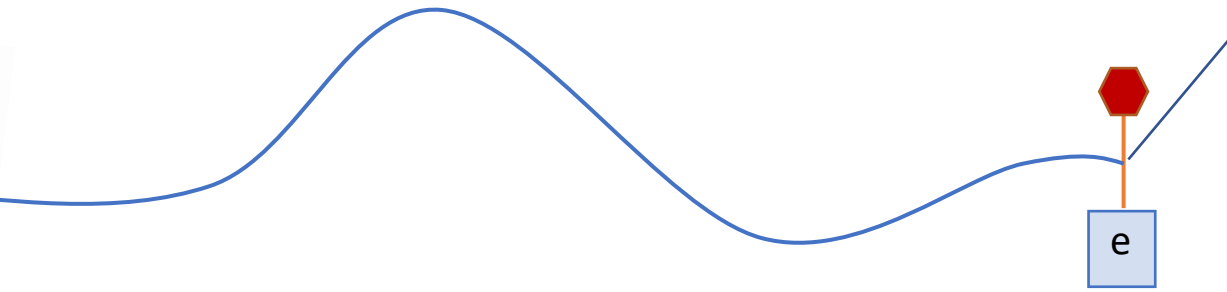
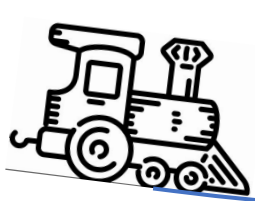


Train Control: Complicated

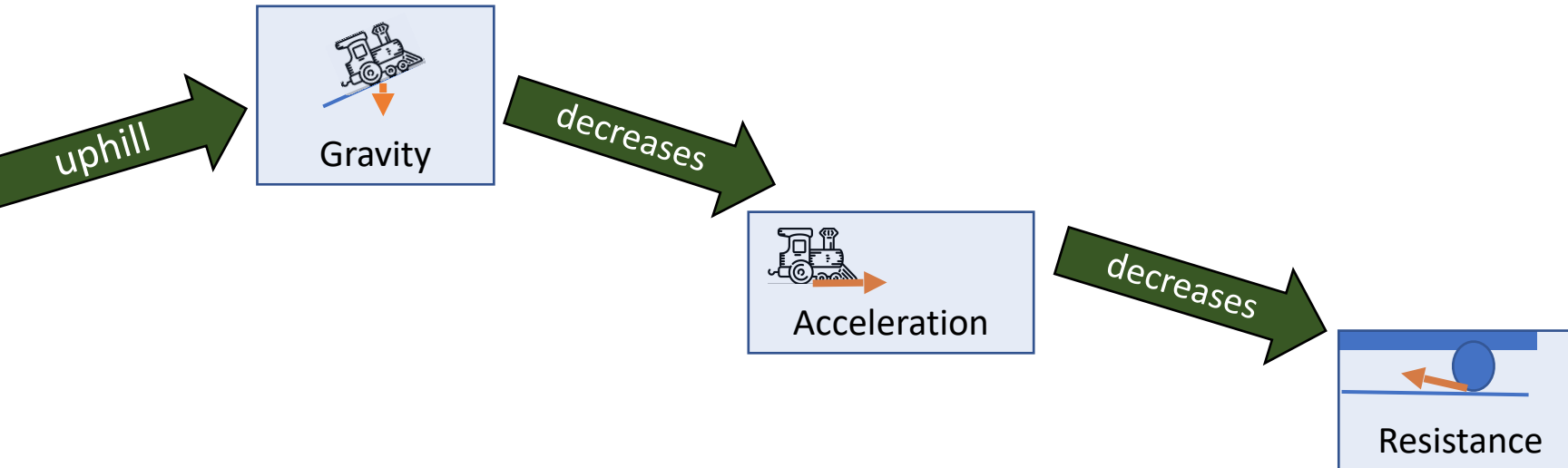


End of *movement authority*: the train must stop by this point

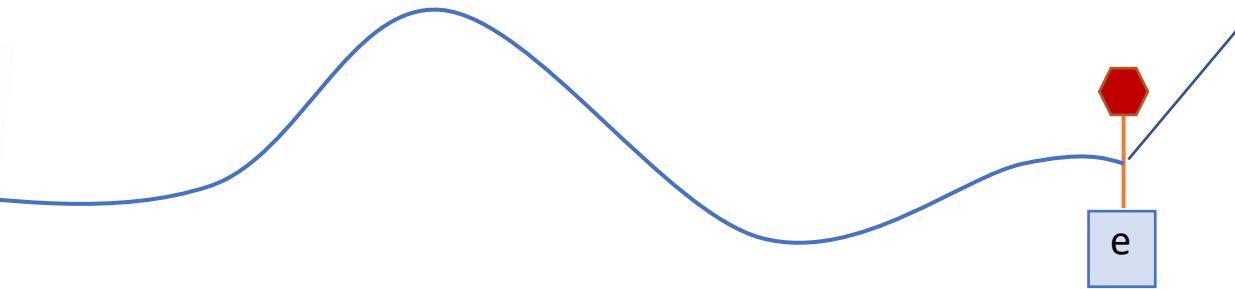
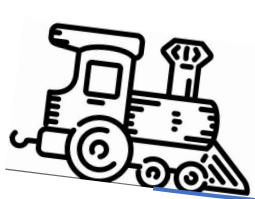
Train Control: Complicated



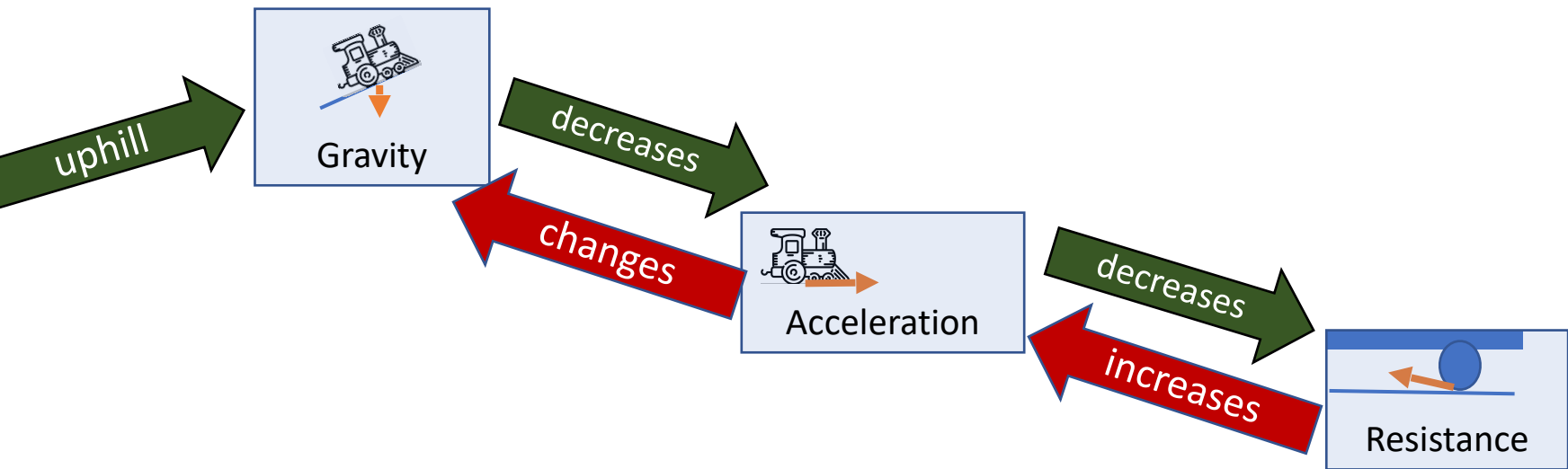
End of *movement authority*: the train must stop by this point



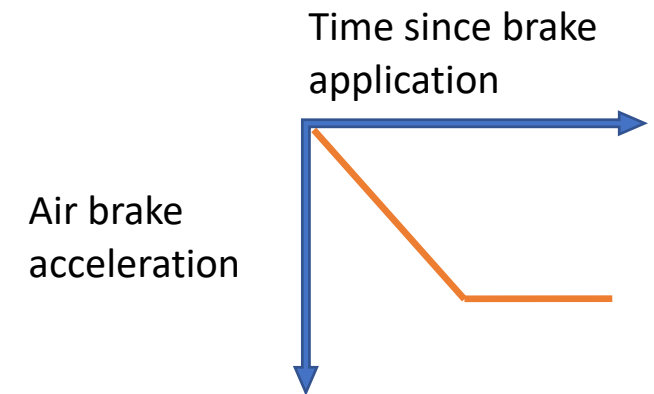
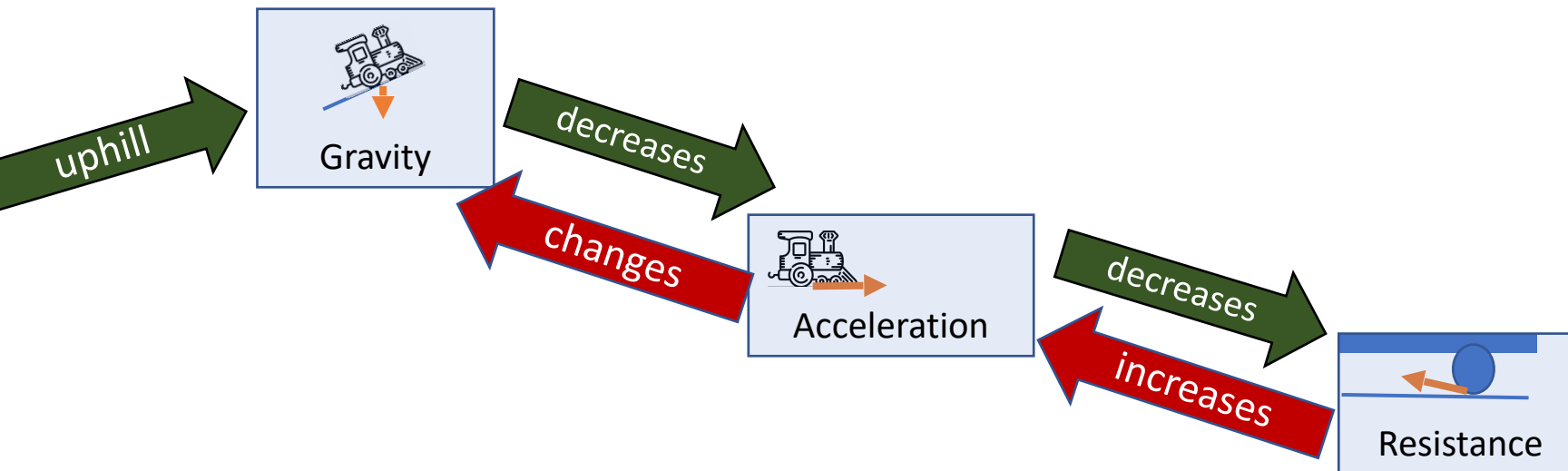
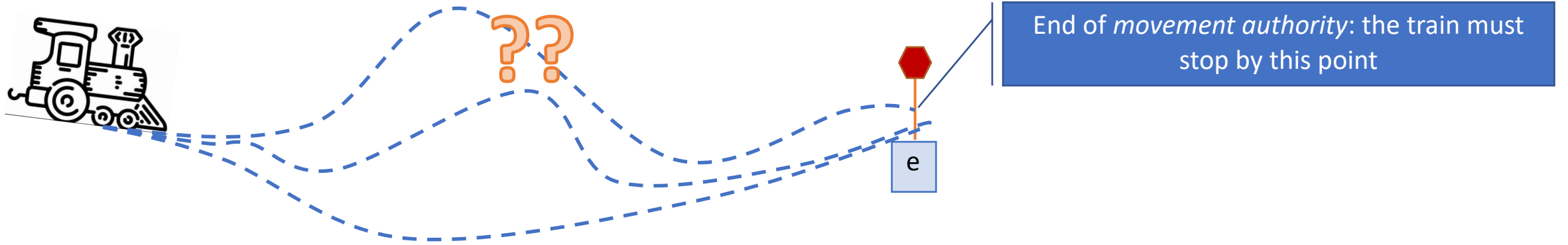
Train Control: Complicated



End of *movement authority*: the train must stop by this point



Train Control: Complicated



Formal Verification



Complete FRA Model[1]

```

// Acceleration coefficients.
Real a0; // Strict upper bound on maximal constant acceleration.
Real a1; // Accelerations that are lower in velocity.
Real a2; // Accelerations that are quadratic in velocity.
Real a3; // Maximal constant braking force (positive).
Real a3Der; // Coefficient in derivative of horizontal curve.

// Operational track setup.
Real trackSlope; // Greatest allowed acceleration due to slope gradient.
Real Tc; // Time control loop period / system reaction time.
Real stopAcc; // Slope acceleration map where trainPos is measured along the sloped track rather than along flat land.
Real end; // End of movement authority.
Real curvature; // Acceleration due to curve resistance map where trainPos is measured along the sloped track rather than along flat land.
Real maxCurv; // Maximal rate of change of slope (vertical curvature).
Real a3; // Maximal friction due to horizontal curve (resistance at low velocity).
Real a3D; // Maximal deceleration due to air brake when train is applying them.
Real pressureChangeRate; // (Linear) rate of increase in acceleration due to air brake when train is applying them.

// Upper bound on velocity for constant velocity.
Real maxUpperVel; // Maximal acceleration at for one time period independently from particular curve or slope.
Real maxUpperAcc; // Maximal acceleration due to slope.
Real maxUpperAcc; // Maximal acceleration due to curve.
Real maxCurvAcc; // Maximal acceleration due to curve (negative slope curve decelerate).
Real maxCurvAcc; // Maximal acceleration due to curve (positive slope curve decelerate).
Real brakingDistance; // The train will stop in at most this much distance if braking from speed vel.
Real brakingRate; // The train will stop in at most this much distance if braking from speed vel.
Real maxCurv; // Maximal friction due to horizontal curve (resistance at low velocity).
Real a3D; // Maximal deceleration due to air brake when train is applying them.
Real pressureChangeRate; // (Linear) rate of increase in acceleration due to air brake when train is applying them.

// The train
Real stopAcc; // Slope acceleration map where trainPos is measured along the sloped track rather than along flat land.
Real end; // End of movement authority.
Real curvature; // Acceleration due to curve resistance map where trainPos is measured along the sloped track rather than along flat land.
Real maxCurv; // Maximal rate of change of slope (vertical curvature).
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```

Formal Model

```

// The train
Real stopAcc; // Slope acceleration map where trainPos is measured along the sloped track rather than along flat land.
Real end; // End of movement authority.
Real curvature; // Acceleration due to curve resistance map where trainPos is measured along the sloped track rather than along flat land.
Real maxCurv; // Maximal rate of change of slope (vertical curvature).
Real a3; // Maximal friction due to horizontal curve (resistance at low velocity).
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```

Proving in KeYmaera X Theorem Prover

2545 lines of proof tactic

```

Proof: ✓ All goals closed

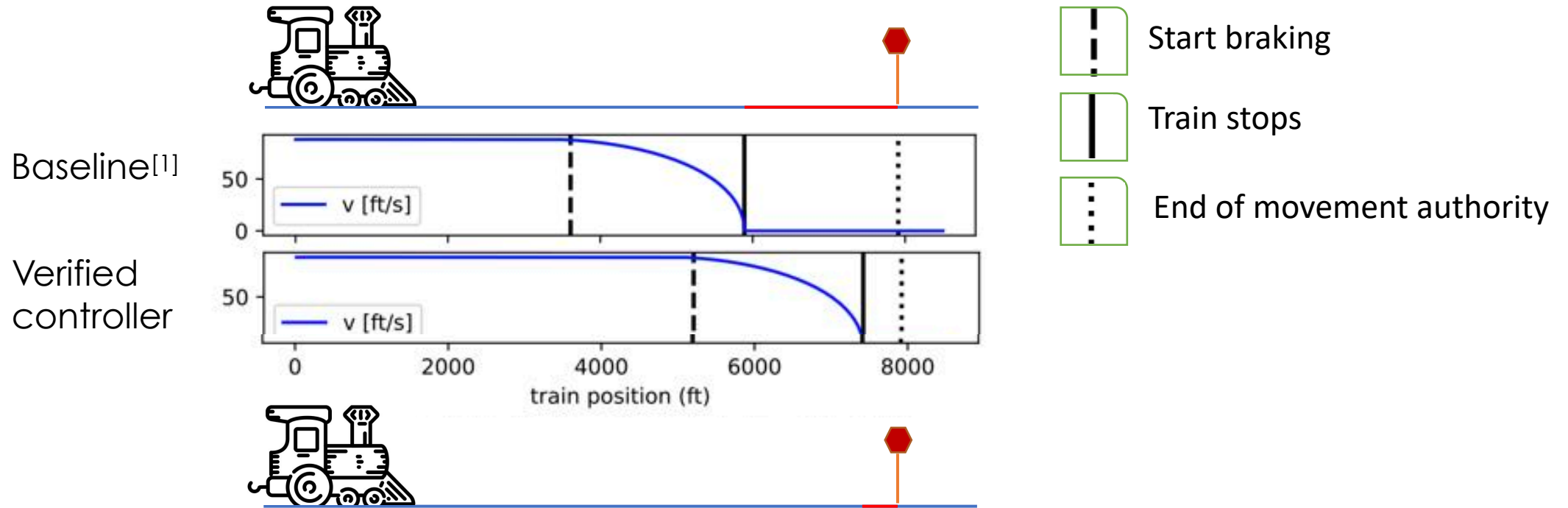
Provable: ... end() - trainPos = vel * t - 0.5 * a * t^2 + ...
reChangeRate := vel * (1/20) / pressureChangeRate := vel *
...
    
```



Generalizable

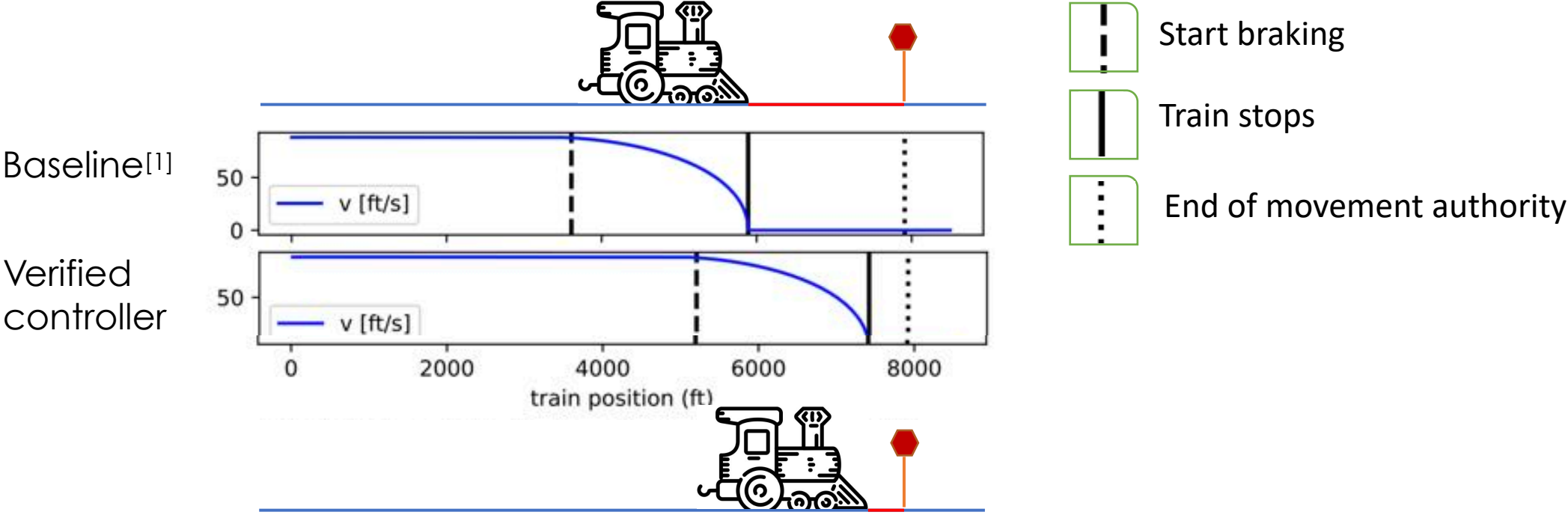
[1] J. Brosseau and B. M. Ede, "Development of an adaptive prediction Administration, 2009.

Approach: Impact



[1] J. Brosseau and B. M. Ede, "Development of an adaptive predictive braking enforcement algorithm", Federal Railroad Administration, 2009.

Approach: Impact



[1] J. Brosseau and B. M. Ede, "Development of an adaptive predictive braking enforcement algorithm", Federal Railroad Administration, 2009.

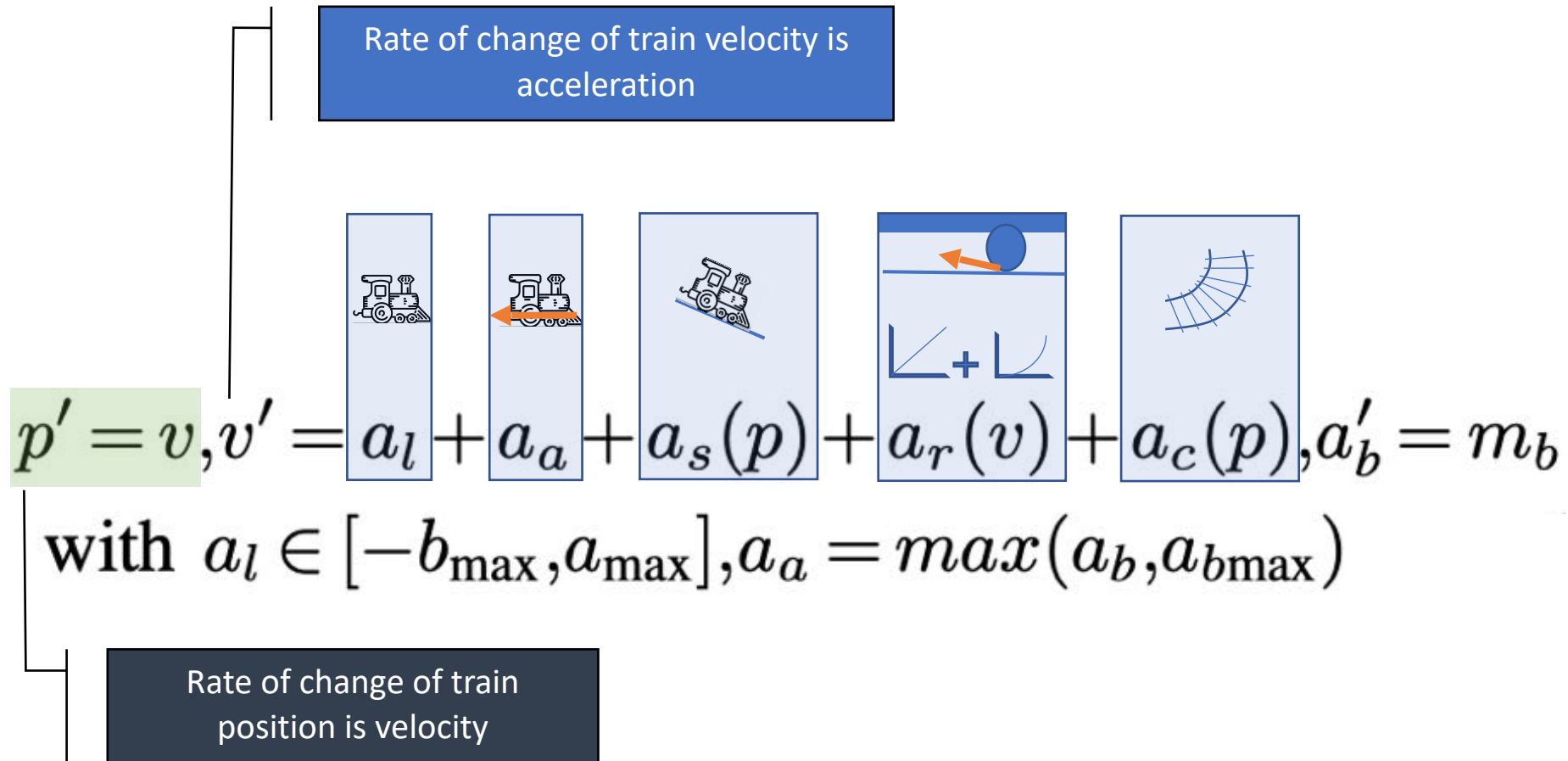
Overview

Part 1: Train Verification

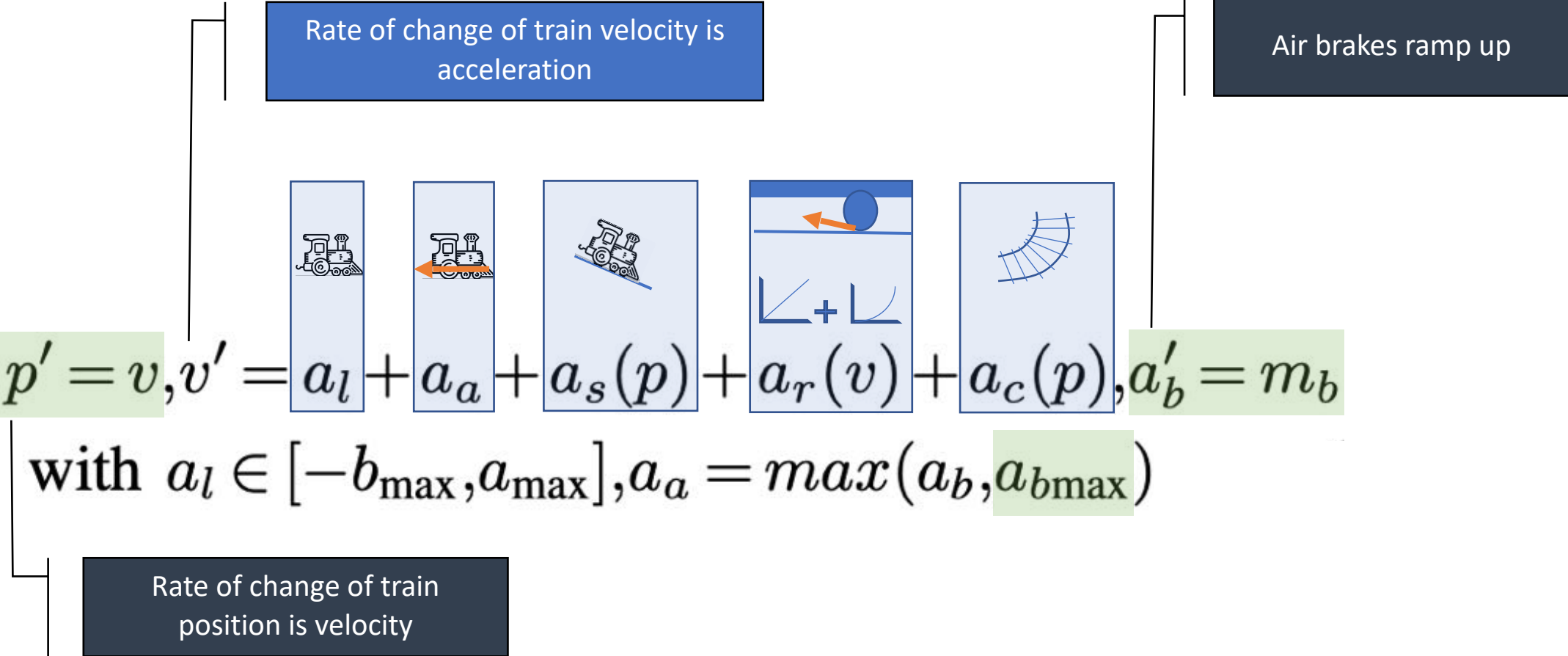
- Introduction
- **Techniques**
- Controller
- Evaluation
- Summary



Background: Dynamics



Background: Dynamics



Unknown functions: slope, curve



$$p' = v, v' = a_l + a_a + a_s(p) + a_r(v) + a_c(p), a'_b = m_b$$

Unknown functions: slope, curve



Use worst case value ...

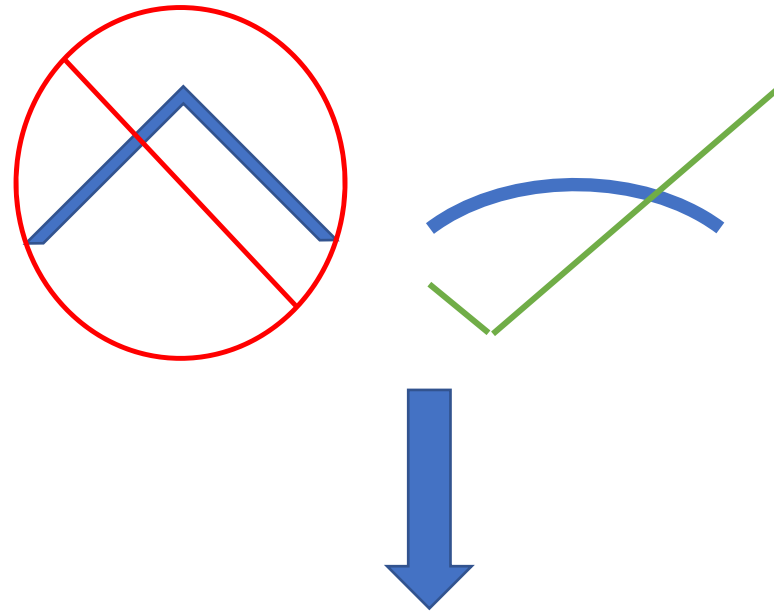
$$p' = v, v' = a_l + a_a + \overset{m_s}{\boxed{a_s(p)}} + a_r(v) + \overset{0}{\boxed{a_z(p)}}, a'_b = m_b$$

Unknown function: replace with worst case value m_s

Unknown function: replace with worst case value 0

Unknown functions: slope, curve

... with improving estimates.

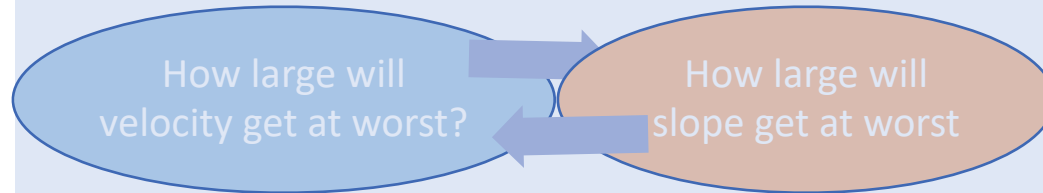


$$a_s(p) \leq \bar{a}_s(p_0) = \min(m_s, a_s(p_0) + u \cdot h_{\max} \cdot T)$$

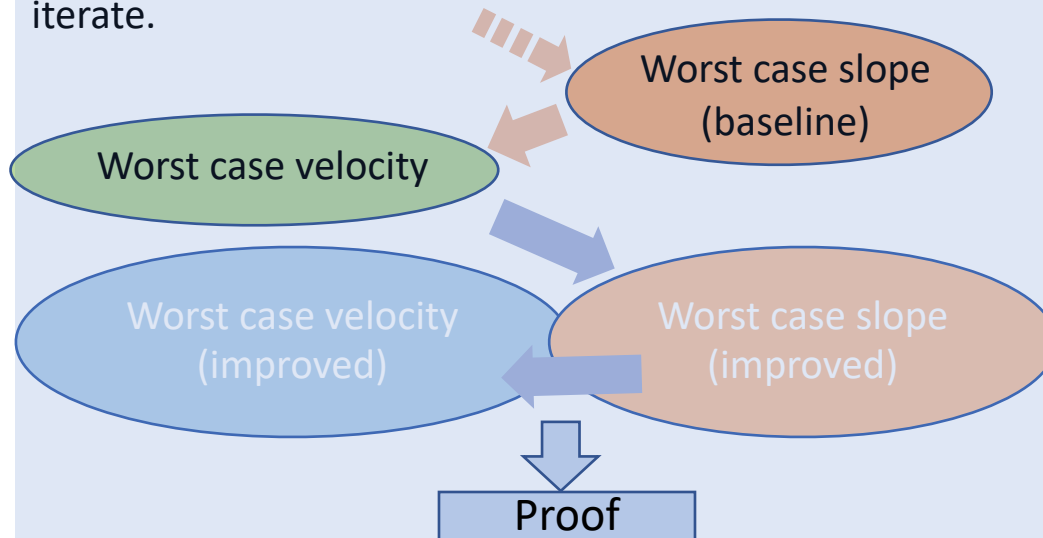
Other Proof Techniques

Circular Dependencies

Problem: Circular dependence while estimating worst case values.



Solution: Bootstrap cycle with naive values, then iterate.



Taylor Polynomial

Problem: Davis resistance integrates poorly.

$$\frac{\left(\sqrt{4(a_l + m_s)a_2 - a_1^2} \cdot \tan \left(t \frac{\sqrt{4(a_l + m_s)a_2 - a_1^2}}{2} + \tan^{-1} \left(\frac{a_1 + 2a_2 v_0}{\sqrt{4(a_l + m_s)a_2 - a_1^2}} \right) \right) - a_1 \right)}{2a_2}$$

Solution: Taylor polynomial approximation.

Ghost Trains

Problem: Intermediate reasoning steps transcendental.

Solution: Reason about as ODE (here represents dynamics of a "ghost" train).



Overview

- Introduction
- Techniques
- **Controller**
- Evaluation
- Summary



Control Structure

Control code runs in a loop with some latency T (in our case, to the order of a second).

```

{
  t:=0;
  {
    { ? (  $e-p > \text{stoppingDistance}(p, v, a_b)$  );
       $a_l := *; ?(-b_{max} \leq a_l \leq a_{max});$ 
       $m_b := 0; a_b := 0;$ 
      } U
      {  $a_l := -b_{max}; m_b := \text{Brake}$  }
    }
    {  $p' = v, v' = a_l + \max(a_b, a_{bmax}) + a_s(p) + a_c(p) + a_r(v), a'_b = m_b, t' = 1$ 
      &  $t \leq T \& v \geq 0$ 
    }
  }
}

```

End of *movement authority*: the train must stop by this point

$a_l := *; ?(-b_{max} \leq a_l \leq a_{max});$
 $m_b := 0; a_b := 0;$
 Free Driving

$a_l := -b_{max}; m_b := \text{Brake}$



```

Theorem "WP2/slopecurve_offset_airbrakes_1"
Definitions
/* Acceleration coefficients. */
Real a0; /* Strict upper bound on maximal constant acceleration. */
Real a1; /* Accelerations that are linear in velocity. */
Real a2; /* Accelerations that are quadratic in velocity. */
Real b0; /* Maximal constant braking force (positive). */
Real cvDer; /* Coefficient in derivative of horizontal curve. */

/* Situational track setup */
Real maxSlope; /* Greatest allowed acceleration due to slope gradient. m_s in the paper. */
Real T; /* Time control loop period / system reaction time. */
Real slopeAcc(Real trainPos); /* Slope acceleration (where trainPos is measured along the sloped track rather than along flat land), a_c in the paper. */
Real end; /* End of movement authority, e in the paper. */
Real curvature(Real trainPos); /* Acceleration due to curve resistance max (where trainPos is measured along the sloped track rather than along flat land), a_c in the paper. */
Real maxVertCur; /* Maximal rate of change of slope (vertical curvature), h_max in the paper. */
Real Rmin; /* Bound on friction due to horizontal curve (resistance at min radius), m_c in the paper. */
Real Aps; /* maximum penalty brake acceleration */
Real buildUpThreshold; /* Time offset until pressure brakes can be applied. */
Real pressureChangeRate; /* (Linear) rate of increase in acceleration due to air brakes when t is applying them. */

/* Upper bound on velocity for current velocity vel at acceleration a0 for one time period independently from particular curve or slope. */
Real baseUpperV(Real a0, Real vel) = vel + a0*(maxSlope)*T;

/* Maximum acceleration due to slope. */
Real maxSlopeAcc(Real slopeAcc, Real vel) = min(slopeAcc+(maxVertCur*T)*vel, maxSlope);

/* Maximum acceleration due to curve (negative since curves decelerate). */
Real maxCurveAcc(Real curvature, Real vel) = min((curvature+cvDer*vel)*T, 0);

/* The train will stop in at most this much distance if braking from speed vel. */
Real brakingDistance(Real vel, Real buildUp) = min(vel*T/2, (b0*maxSlope), (vel*(buildUp*(b0*maxSlope)+2*(b0*maxSlope))+vel*buildUp*(b0*maxSlope)+1/2*(b0*maxSlope)*buildUp)/b0);

/* The train will stop in at most this much distance if it accelerates for a time period and then brakes. */
Real stoppingDistance(Real trainPos, Real vel) = brakingDistance(upperV(a0, vel, slopeAcc(trainPos), curvature(trainPos)), 0) + upperDist(vel, slopeAcc(trainPos), curvature(trainPos));

/* Velocity dependent part of the acceleration that is always negative (since resistance), a_r in the paper. */
Real resistance(Real vel) = a1*vel + a2*vel^2;

/* Total acceleration acting on the train at any point for a controlled acceleration trainAcc at velocity from position trainPos. */
Real acc(Real trainAcc, Real vel, Real trainPos) = trainAcc + slopeAcc(trainPos) + resistance(vel) + curvature(trainPos);

/* Upper bound on new velocity (increased for one time period). */
Real upperV(Real trainAcc, Real vel, Real slopeAcc, Real curvature) = vel + trainAcc*maxSlopeAcc(slopeAcc, baseUpperV(a0, vel)) + maxCurveAcc(curvature, baseUpperV(a0, vel))*T;

/* Upper bound on distance covered for one time period under acceleration. */
Real upperDist(Real vel, Real slopeAcc, Real curvature) = vel*T + 1/2*(a0 + maxSlopeAcc(slopeAcc, baseUpperV(a0, vel)) + maxCurveAcc(curvature, baseUpperV(a0, vel)))*T^2;

/* Time till pipe pressure is at maximum given current pressure. */
Real buildUp(Real buildUp) = (a0*buildUp)/pressureChangeRate;

/* Utility functions for absolute values: True iff |x| <= y. */
Bool absLessEq(Real x, Real y) <> (x <= y & -y <= x);

/* Track is built correctly: all changes in slope along actual track are bounded by maximal vertical curve and curve derivative. */
Bool limitedTrackChange() <> (forall x' (forall x absLessEq(slopeAcc(x'), maxVertCur*x) & (forall x' (forall x absLessEq(curvature(x'), cvDer*x'))));

/* Assumptions on constants. */
Bool conditionsOnConsts() <>
a0>0 /* Strict upper bound on maximal constant acceleration, must be positive. */
& b0>0 /* Maximal constant braking force (positive). */
& a1>0 /* Accelerations that are linear in velocity. */
& a2>0 /* Accelerations that are quadratic in velocity. */
& maxSlope>0 /* Greatest allowed acceleration due to slope gradient. */
& T>0 /* Time control loop period / system reaction time. */
& b0<maxSlope*0 /* Brakes more powerful than effect of slope. */
& a0<maxSlope*0 /* Engine more powerful than effect of slope. */
& a0<maxSlope*Rmin*0 /* Engine more powerful than curve and slope. */
& maxVertCur>0 /* Maximal rate of change of slope (vertical curvature). */
& Rmin>0 /* Bound on friction due to horizontal curve (resistance at min radius). */
& cvDer>0 /* Coefficient in derivative of horizontal curve. */
& (forall trainPos (Rmin<curvature(trainPos) & curvature(trainPos)>0)) /* Track is built correct: all curvatures along actual track satisfy bound on friction due to curve. */
& (forall trainPos absLessEq(slopeAcc(trainPos), maxSlope) /* Track is built correctly: all slope acceleration along actual track are within maxSlope. */
& Aps>0 /* Maximally engaged air pressure brakes provide negative acceleration. */

ProgramVariables
Real trainPos; /* The position of the train. */
Real vel; /* The speed of the train. */
Real trainAcc; /* Acceleration (deceleration control, i.e., engine acceleration and braking. */
Real buildUp; /* Air pressure brake propagation time. */
Real vPressure; /* pressureChangeRate if controller has crossed vPressure, 0 otherwise. */
Real airBrake; /* Acceleration due to current pipe pressure. */
end.

Problem
end trainPos<stoppingDistance(trainPos, vel),
& conditionsOnConsts()
& limitedTrackChange()
& vel>0
& brakesSlope>0
& vBrake>0
end.

```

Control Structure

Control code runs in a loop with some latency T (in our case, to the order of a second).

```

{
  t:=0;
  {
    { ? ( e-p > stoppingDistance(p, v, a_b) );
      a_l:=*; ?(-b_max ≤ a_l ≤ a_max);
      m_b:=0; a_b:=0;
    } U
    { a_l:=-b_max; m_b:=Brake }
  }
  { p'=v, v'=a_l + max(a_b, a_b_max) + a_s(p) + a_c(p) + a_r(v), a'_b = m_b, t' = 1
    & t ≤ T & v ≥ 0
  }
}

```

End of *movement authority*: the train must stop by this point

Upper bound on distance traveled before train stops if you accelerate for one control cycle and brake after that.

Train Motion for at most time T

```

Theorem "WP2/slopecurve_offset_airbrakes_1"
Definitions
/* Acceleration coefficients. */
Real a0; /* Strict upper bound on maximal constant acceleration. */
Real a1; /* Accelerations that are linear in velocity. */
Real a2; /* Accelerations that are quadratic in velocity. */
Real b0; /* Maximal constant braking force (positive). */
Real cvDer; /* Coefficient in derivative of horizontal curve. */

/* Situational track setup */
Real maxSlope; /* Greatest allowed acceleration due to slope gradient. m_s in the paper.
Real T; /* Time control loop period / system reaction time.
Real slopeAcc(Real trainPos); /* Slope acceleration (where trainPos is measured along the
sloped track rather than along flat land), a_c in the paper.
Real end; /* End of movement authority. e in the paper.
Real curvature(Real trainPos); /* Acceleration due to curve resistance mag (where trainPos is
measured along the sloped track rather than along flat land), a_r in the paper.
Real maxVertCur; /* Maximal rate of change of slope (vertical curvature), h_max in the
paper.
Real Rmin; /* Bound on friction due to horizontal curve (resistance at min radius), m_c
the paper.
Real Aps; /* maximum penalty brake acceleration.
Real buildUpThreshold; /* Time offset until pressure brakes can be applied.
Real pressureChangeRate; /* (Linear) rate of increase in acceleration due to air brakes when t
is applying them.

/* Upper bound on velocity for current velocity vel at acceleration a0 for one time period
independently from particular curve or slope.
Real baseUpperV(Real a0, Real vel) = vel + a0*(maxSlope)*T;

/* Maximum acceleration due to slope.
Real maxSlopeAcc(Real slopeAcc, Real vel) = min(slopeAcc+(maxVertCur*T)*vel, maxSlope);

/* Maximum acceleration due to curve (negative since curves decelerate).
Real maxCurveAcc(Real curvature, Real vel) = min(|curvature*cvDer*vel*T|, 0);

/* The train will stop in at most this much distance if braking from speed vel.
Real brakingDistance(Real vel, Real buildUp) = min(vel^2/2*(b0-maxSlope),
(vel*(buildUp*(b0+maxSlope))+2/2*(b0-maxSlope)) + vel*buildUp*(buildUp + 1/2*(b0
maxSlope)*buildUp/(b0+maxSlope));

/* The train will stop in at most this much distance if it accelerates for a time period and then br
*/
Real stoppingDistance(Real trainPos, Real vel) = brakingDistance(upperV(a0, vel,
slopeAcc(trainPos), curvature(trainPos)), 0) + upperDist(vel, slopeAcc(trainPos), curvature(trainPos))

/* Velocity dependent part of the acceleration that is always negative (since resistance), a_r in the
paper.
Real resistance(Real vel) = a1*vel + a2*vel^2;

/* Total acceleration acting on the train at any point for a controlled acceleration trainAcc at veloc
ity from position trainPos.
Real acc(Real trainAcc, Real vel, Real trainPos) = trainAcc + slopeAcc(trainPos) + resistance(vel) +
curvature(trainPos);

/* Upper bound on new velocity (increased for one time period).
Real upperV(Real trainAcc, Real vel, Real slopeAcc, Real curvature) = vel
+ trainAcc*(maxSlopeAcc(Real slopeAcc, baseUpperV(trainAcc, vel))
+ maxCurveAcc(curvature, baseUpperV(trainAcc, vel)))*T;

/* Upper bound on distance covered for one time period under acceleration.
Real upperDist(Real vel, Real slopeAcc, Real curvature) = vel*T + 1/2*(
a0 + maxSlopeAcc(slopeAcc, baseUpperV(a0, vel))
+ maxCurveAcc(curvature, baseUpperV(a0, vel)))*T^2;

/* Time till pipe pressure is at maximum given current pressure.
Real buildUpT(Real buildUp) = (Aps-buildUp)/pressureChangeRate;

/* Utility functions for absolute values: True iff |x| <= y.
Bool absLessEq(Real x, Real y) <> (x <= y & -x <= y);

/* Track is built correctly: all changes in slope along actual track are bounded by maximal vertical
curve and curve derivatives.
Bool limitedTrackChange() <> (forall x' (forall x absLessEq(slopeAcc(x'), maxVertCur*x')
& (forall x' (forall x absLessEq(curvature(x'), cvDer*x')));

/* Assumptions on constants.
*/
Bool conditionsOnConst() <>
a0>0 /* Strict upper bound on maximal constant acceleration, must be
positive.
*/
& b0>0 /* Maximal constant braking force (positive).
*/
& a1>0 /* Accelerations that are linear in velocity.
*/
& a2>0 /* Accelerations that are quadratic in velocity.
*/
& maxSlope>0 /* Greatest allowed acceleration due to slope gradient.
*/
& T>0 /* Time control loop period / system reaction time.
*/
& b0-maxSlope>0 /* Brakes more powerful than effect of slope.
*/
& a0-maxSlope>0 /* Engine more powerful than effect of slope.
*/
& a0-maxSlope-Rmin>0 /* Engine more powerful than curve and slope.
*/
& maxVertCur>0 /* Maximal rate of change of slope (vertical curvature).
*/
& Rmin>0 /* Bound on friction due to horizontal curve (resistance at
radius).
*/
& cvDer>0 /* Coefficient in derivative of horizontal curve.
*/
& (forall trainPos (Rmin<curvature(trainPos) & curvature(trainPos)>0)) /* Track is built correct
all curvatures along actual track satisfy bound on friction due to curve.
*/
& (forall trainPos absLessEq(slopeAcc(trainPos), maxSlope)) /* Track is built correctly, all slop
acceleration along actual track are within maxSlope.
*/
& Aps>0 /* Maximally engaged air pressure brakes provide negative
acceleration.
*/
& buildUpThreshold>0 /* Air pressure brake propagation time is some positive
numbers.
*/
& pressureChangeRate>0 /* The rate at which acceleration provided by the
pressure brakes increases.
*/
end.

ProgramVariables
Real trainPos; /* The position of the train.
*/
Real vel; /* The speed of the train.
*/
Real trainAcc; /* Acceleration (deceleration control), i.e., engine acceleration and braking.
*/
Real buildUp; /* Pressure build-up.
*/
Real vPressure; /* PressureChangeRate if controller has chosen to increase it, otherwise.
*/
Real airBrake; /* Acceleration due to current pipe pressure.
*/
end.

Problem
end trainPos<brakingDistance(vel, 0)
& conditionsOnConst()
& limitedTrackChange()
& vel>0
& brakesSlope>0
& vPressure>0
end.

18

```

Control Structure

Control code runs in a loop with some latency T (in our case, to the order of a second).

```

{
  t:=0;
  {
    { ? ( e-p > stoppingDistance(p, v, a_b) );
      a_l:=*; ?(-b_max ≤ a_l ≤ a_max);
      m_b:=0; a_b:=0;
      Free Driving
    } ∪
    { a_l:=-b_max; m_b Brake; }
  }
  { p'=v, v'=a_l + max(a_b, a_b_max) + a_s(p) + a_c(p) + a_r(v), a'_b = m_b, t' = 1
    & t < T & v ≥ 0
  }
}
}

```

Only if there is a sufficient distance margin

Allow acceleration

Always allow braking

Train Motion for at most time T

```

Theorem "WP2/slopecurve_offset_airbrakes_1"
Definitions
/* Acceleration coefficients. */
Real a0; /* Strict upper bound on maximal constant acceleration. */
Real a1; /* Accelerations that are linear in velocity. */
Real a2; /* Accelerations that are quadratic in velocity. */
Real b0; /* Maximal constant braking force (positive). */
Real cvDer; /* Coefficient in derivative of horizontal curve. */

/* Situational track setup */
Real maxSlope; /* Greatest allowed acceleration due to slope gradient. m_s in the paper.
Real T; /* Time control loop period / system reaction time.
Real slopeAccel(Real trainPos); /* Slope acceleration (where trainPos is measured along the
sloped track rather than along flat land), a_c in the paper.
Real end; /* End of movement authority, e in the paper.
Real curvature(Real trainPos); /* Acceleration due to curve resistance map (where trainPos is
measured along the sloped track rather than along flat land), a_r in the paper.
Real maxVertCur; /* Maximal rate of change of slope (vertical curvature), h_max in the
paper.
Real Rmin; /* Bound on friction due to horizontal curve (resistance at min radius), m_c
the paper.
Real Aps; /* maximum penalty brake acceleration.
Real buildUpThreshold; /* Time offset until pressure brakes can be applied.
Real pressureChangeRate; /* (Linear) rate of increase in acceleration due to air brakes when t
is applying them.

/* Upper bound on velocity for current velocity vel at acceleration a0 for one time period
independently from particular curve or slope. */
Real baseUpperV(Real a0, Real vel) = vel + (a0*maxSlope)*T;

/* Maximum acceleration due to slope. */
Real maxSlopeAcc(Real slopeAcc, Real vel) = min(slopeAcc+(maxVertCur*T)*vel, maxSlope);

/* Maximum acceleration due to curve (negative since curves decelerate). */
Real maxCurveAcc(Real curvature, Real vel) = min((curvature*cvDer*vel*T), 0);

/* The train will stop in at most this much distance if braking from speed vel. */
Real brakingDistance(Real vel, Real buildUp) = min(vel^2/2*(b0-maxSlope),
(vel*(buildUp*(b0-maxSlope))+2/2*(b0-maxSlope)) + vel*buildUp*(buildUp + 1/2*(b0-
maxSlope)/buildUp*T/buildUp^2);

/* The train will stop in at most this much distance if it accelerates for a time period and then br
*/
Real stoppingDistance(Real trainPos, Real vel) = brakingDistance(upperV(a0, vel,
slopeAcc(trainPos), curvature(trainPos)), 0) + upperDist(vel, slopeAcc(trainPos), curvature(trainPos)
/* Velocity dependent part of the acceleration that is always negative (since resistance), a_r in the
paper. */
Real resistance(Real vel) = a1*vel + a2*vel^2;

/* Total acceleration acting on the train at any point for a controlled acceleration trainAcc at velo
vel from position trainPos. */
Real acc(Real trainAcc, Real vel, Real trainPos) = trainAcc + slopeAcc(trainPos) + resistance(vel) +
curvature(trainPos);

/* Upper bound on new velocity (increased for one time period). */
Real upperV(Real trainAcc, Real vel, Real slopeAcc, Real curvature) = vel
+ trainAcc*maxSlope*acc(0, acc, baseUpperV(trainAcc, vel))
+ maxCurveAcc(curvature, baseUpperV(trainAcc, vel))*T;

/* Upper bound on distance covered for one time period under acceleration. */
Real upperDist(Real vel, Real slopeAcc, Real curvature) = vel*T + 1/2*T^2
(a0 + maxSlopeAcc(slopeAcc, baseUpperV(a0, vel))
+ maxCurveAcc(curvature, baseUpperV(a0, vel)))**T**2;

/* Time till pipe pressure is at maximum given current pressure. */
Real buildUpT(Real buildUp) = (a0b-buildUp)/pressureChangeRate;

/* Utility functions for absolute values: True iff |x| <= y.
Bool absLessEq(Real x, Real y) <> (x<=y & <-y);

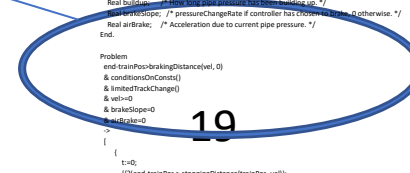
/* Track is built correctly, all changes in slope along actual track are bounded by maximal vertical
curve and curve derivatives. */
Bool limitedTrackChange() <> (forall x' Voral x' absLessEq(slopeAcc(x'), maxVertCur*x')
& Voral x' Voral x' absLessEq(curvature(x'), cvDer*x'));

/* Assumptions on constants. */
Bool conditionsOnConsts() <>
a0>0 /* Strict upper bound on maximal constant acceleration, must be
positive. */
& b0>0 /* Maximal constant braking force (positive). */
& a1>0 /* Accelerations that are linear in velocity. */
& a2>0 /* Accelerations that are quadratic in velocity. */
& maxSlope>0 /* Greatest allowed acceleration due to slope gradient. */
& T>0 /* Time control loop period / system reaction time. */
& b0 < maxSlope*0 /* Brakes more powerful than effect of slope. */
& a0 < maxSlope*0 /* Engine more powerful than effect of slope. */
& a0 < maxSlope*Rmin*0 /* Engine more powerful than curve and slope. */
& maxVertCur>0 /* Maximal rate of change of slope (vertical curvature). */
& Rmin>0 /* Bound on friction due to horizontal curve (resistance at min
radius). */
& cvDer>0 /* Coefficient in derivative of horizontal curve. */
& Voral trainPos (Rmin<curvature(trainPos) & curvature(trainPos)>0) /* Track is built correct
all curvatures along actual track satisfy bound on friction due to curve. */
& Voral trainPos absLessEq(slopeAcc(trainPos), maxSlope) /* Track is built correctly, all slop
acceleration along actual track are within maxSlope. */
& Aps>0 /* Maximally engaged air pressure brakes provide negative
acceleration. */
& buildUpThreshold>0 /* Air pressure brake propagation time is some positive
numbers. */
& pressureChangeRate>0 /* The rate at which acceleration provided by the
pressure brakes increases. */
end.

ProgramVariables
Real trainPos; /* The position of the train. */
Real vel; /* The speed of the train. */
Real trainAcc; /* Acceleration (deceleration control), i.e., engine acceleration and braking. */
Real buildUp; /* Air pressure brake propagation time. */
Real vPressureChangeRate; /* pressureChangeRate if controller has crossed vPressureChangeRate, 0 otherwise. */
Real airBrake; /* Acceleration due to current pipe pressure. */
end.

Problem
end trainPos < stoppingDistance(trainPos, vel),
& conditionsOnConsts()
& limitedTrackChange()
& vel>0
& brakesSlope>0
& airBrake>0
end.

```



Control Structure

Control code runs in a loop with some latency T (in our case, to the order of a second).

```
{
  t:=0;
  {
    { ? ( e-p > stoppingDistance(p, v, a_b) );
      a_l:=*; ?(-b_max ≤ a_l ≤ a_max);
      m_b:=0; a_b:=0;
      Free Driving
    } ∪
    { a_l:=-b_max; m_b Brake_p; }
  }
  { p'=v, v'=a_l + max(a_b, a_b_max) + a_s(p) + a_c(p) + a_r(v), a'_b = m_b, t' = 1
    & t ≤ T & v > 0
    Train Motion for at most time T
  }
  }*
```

```
Theorem "WP2/slopecurve_offset_airbrakes_1"
Definitions
/* Acceleration coefficients. */
Real a0; /* Strict upper bound on maximal constant acceleration. */
Real a1; /* Accelerations that are linear in velocity. */
Real a2; /* Accelerations that are quadratic in velocity. */
Real b0; /* Maximal constant braking force (positive). */
Real cvDer; /* Coefficient in derivative of horizontal curve. */

/* Situational track setup. */
Real maxSlope; /* Greatest allowed acceleration due to slope gradient. m_s in the paper.
Real T; /* Time control loop period / system reaction time.
Real slopeAcc(Real trainPos); /* Slope acceleration (where trainPos is measured along the sloped track rather than along flat land), a_c in the paper. */
Real end; /* End of movement authority, e in the paper.
Real curvature(Real trainPos); /* Acceleration due to curve resistance max (where trainPos is measured along the sloped track rather than along flat land), a_r in the paper.
Real maxVertCur; /* Maximal rate of change of slope (vertical curvature), h_max in the paper.
Real Rmin; /* Bound on friction due to horizontal curve (resistance at min radius), m_c in the paper.
Real Ap0; /* maximum penalty brake acceleration.
Real buildUpThreshold; /* Time offset until pressure brakes can be applied.
Real pressureChangeRate; /* (Linear) rate of increase in acceleration due to air brakes when not applying them.

/* Upper bound on velocity for current velocity vel at acceleration a0 for one time period independently from particular curve or slope.
Real baseUpperV(Real a0, Real vel) = vel + a0*maxSlope*T;

/* Maximum acceleration due to slope.
Real maxSlopeAcc(Real slopeAcc, Real vel) = min(slopeAcc+(maxVertCur*T)*vel, maxSlope);

/* Maximum acceleration due to curve (negative since curves decelerate).
Real maxCurveAcc(Real curvature, Real vel) = min((curvature+cvDer*vel)*T, 0);

/* The train will stop in at most this much distance if braking from speed vel.
Real brakingDistance(Real vel, Real buildUp) = min(vel^2/2, 2*(b0*maxSlope), (vel*(buildUp*(b0*maxSlope)+2*(b0*maxSlope)) + vel*buildUp*(buildUp + 1/2*(b0*maxSlope)*(buildUp^2)));

/* The train will stop in at most this much distance if it accelerates for a time period and then brakes.
Real stoppingDistance(Real trainPos, Real vel) = brakingDistance(upperV(a0, vel, slopeAcc(trainPos), curvature(trainPos)), 0) + upperDist(vel, slopeAcc(trainPos), curvature(trainPos));

/* Velocity dependent part of the acceleration that is always negative (since resistance), a_r in the paper.
Real resistance(Real vel) = a1*vel + a2*vel^2;

/* Total acceleration acting on the train at any point for a controlled acceleration trainAcc at velocity from position trainPos.
Real acc(Real trainAcc, Real vel, Real trainPos) = trainAcc + slopeAcc(trainPos) + resistance(vel) + curvature(trainPos);

/* Upper bound on new velocity (increased for one time period).
Real upperV(Real trainAcc, Real vel, Real slopeAcc, Real curvature) = vel + trainAcc*maxSlope*acc(Real vel, slopeAcc, curvature) + maxCurveAcc(curvature, baseUpperV(trainAcc, vel))*T;

/* Upper bound on distance covered for one time period under acceleration.
Real upperDist(Real vel, Real slopeAcc, Real curvature) = vel*T + 1/2*(a0 + maxSlope*acc(slopeAcc, baseUpperV(a0, vel) + maxCurveAcc(curvature, baseUpperV(a0, vel))))*T^2;

/* Time till pipe pressure is at maximum given current pressure.
Real buildUp(Real buildUp) = (Ap0-buildUp)/pressureChangeRate;

/* Utility functions for absolute values: True iff |x| <= y.
Bool absLessEq(Real x, Real y) <- (x <= y & -x <= -y);

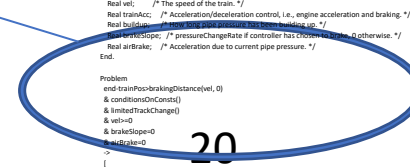
/* Track is built correctly; all changes in slope along actual track are bounded by maximal vertical curve and curve derivative.
Bool limitedTrackChange() <- (forall x' |forall x |absLessEq(slopeAcc(x), maxVertCur*x) & |forall x' |forall x |absLessEq(curvature(x), cvDer*x));

/* Assumptions on constants.
Bool conditionsOnConsts() <-
a0>0 /* Strict upper bound on maximal constant acceleration, must be positive.
& b0>0 /* Maximal constant braking force (positive).
& a1>0 /* Accelerations that are linear in velocity.
& a2>0 /* Accelerations that are quadratic in velocity.
& maxSlope>0 /* Greatest allowed acceleration due to slope gradient.
& T>0 /* Time control loop period / system reaction time.
& b0_maxSlope>0 /* Brakes more powerful than effect of slope.
& a0_maxSlope>0 /* Engine more powerful than effect of slope.
& a0_maxSlope>Rmin>0 /* Engine more powerful than curve and slope.
& maxVertCur>0 /* Maximal rate of change of slope (vertical curvature).
& Rmin>0 /* Bound on friction due to horizontal curve (resistance at min radius).
& cvDer>0 /* Coefficient in derivative of horizontal curve.
& |forall trainPos (Rmin<curvature(trainPos) & curvature(trainPos)>0) /* Track is built correct all curvatures along actual track actively bound on friction due to curve.
& |forall trainPos absLessEq(slopeAcc(trainPos), maxSlope) /* Track is built correctly, all slope acceleration along actual track are within maxSlope.
& Ap0>0 /* Maximally engaged air pressure brakes provide negative acceleration.
& buildUpThreshold>0 /* Air pressure brake propagation time is some positive number.
& pressureChangeRate>0 /* The rate at which acceleration provided by the pressure brakes increases.
end.

ProgramVariables
Real trainPos; /* The position of the train.
Real vel; /* The speed of the train.
Real trainAcc; /* Acceleration (deceleration control), i.e., engine acceleration and braking.
Real buildUp; /* Air pressure brake propagation time.
Real vPressureChangeRate; /* pressureChangeRate if controller has Deceleration control, 0 otherwise.
Real airBrake; /* Acceleration due to current pipe pressure.
end.

Problem
end trainPos<brakingDistance(vel, 0)
& conditionsOnConsts()
& limitedTrackChange()
& vel>0
& brakesSlope>0
& cvDer>0
end
{
  t:=0;
  [(!end trainPos > stoppingDistance(trainPos, vel),
  trainAcc=*,
  a0<max(trainAcc & trainAcc+min)
  ]
  }
  }
end
```

Control Envelope



Envelope: Where the Complexity is

$$\text{brakeDist}_a(v, a_b) =$$

$$vt_b(v, a_b) + \frac{1}{2}(b_{\max} - m_s + a_b)t_b(v, a_b)^2 + \frac{1}{6}(m_p)t_b(v, a_b)^3$$
$$+ \frac{v - (b_{\max} - m_s + a_b)t_b(v, a_b) + \frac{1}{2}m_p t_b(v, a_b)^2}{2(b_{\max} - m_s - a_{b\max})}$$

$$t_b(v, a_b) = \min\left(\frac{(a_{b\max} - a_b)}{m_p}, \frac{(b_{\max} - m_s + a_b) - \sqrt{(b_{\max} - m_s + a_b)^2 - 2m_p v}}{m_p}\right)$$

$$\text{stopDist}_a(p, v, a_b) = vT + \left(\frac{a_{\max} + \bar{a}_s(p)}{2} + \frac{\bar{a}_c(p)}{2}\right)T^2$$
$$+ \text{brakeDist}_a\left(\left(v + (a_{\max} + \bar{a}_s(p) + \bar{a}_c(p))T\right)^2, 0\right)$$

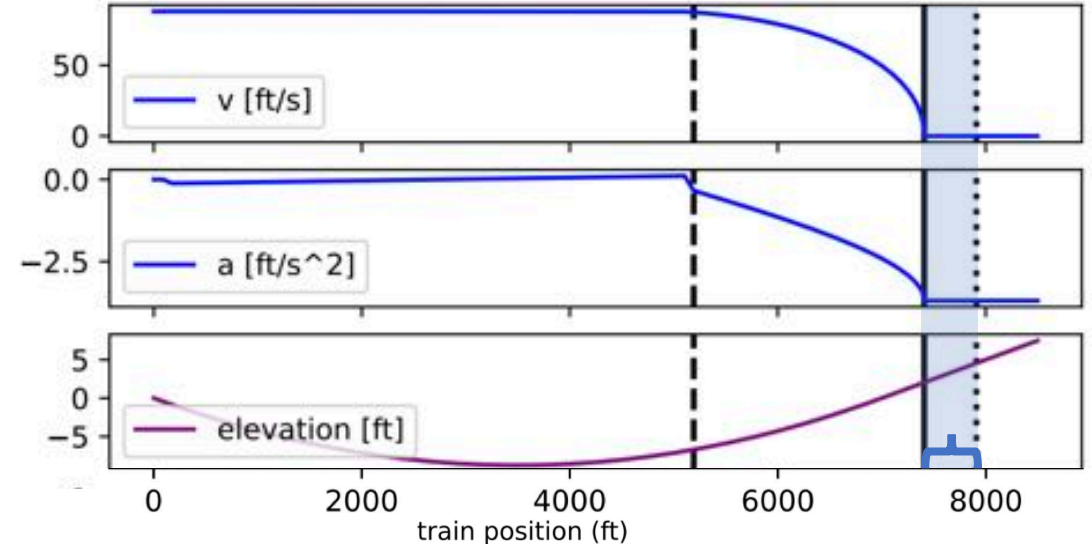
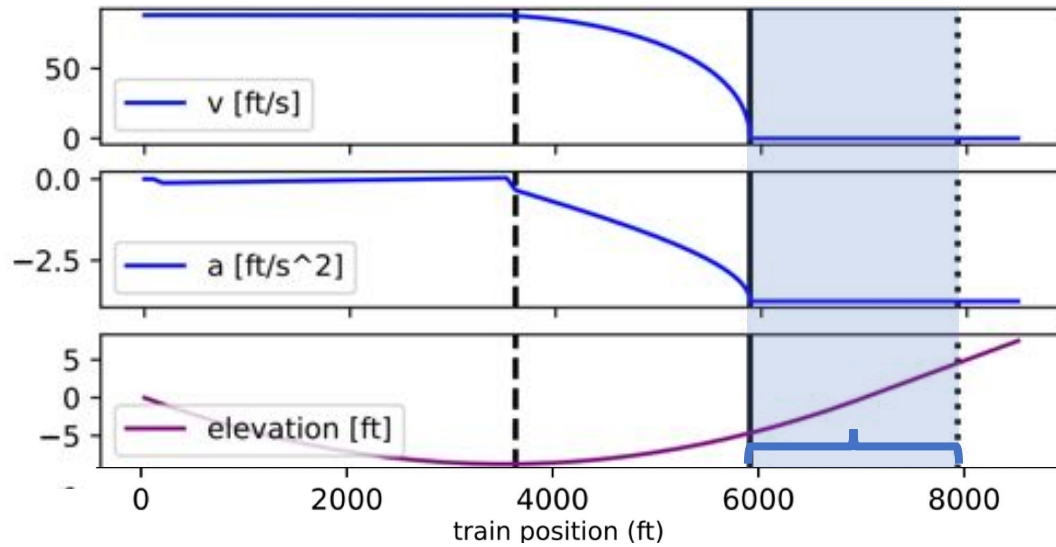
Overview

Part 1: Train Verification

- Introduction
- Techniques
- Controller
- Evaluation
- Summary



Limiting Undershoot while Maintaining Safety



Start braking

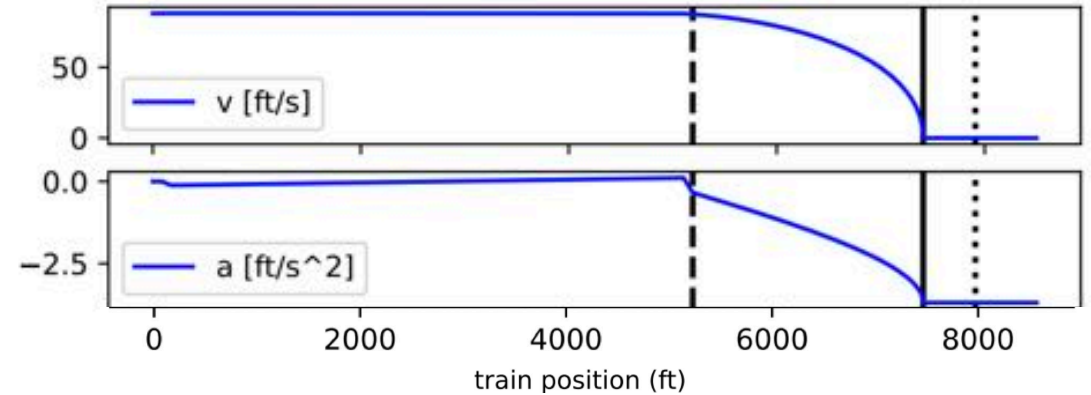
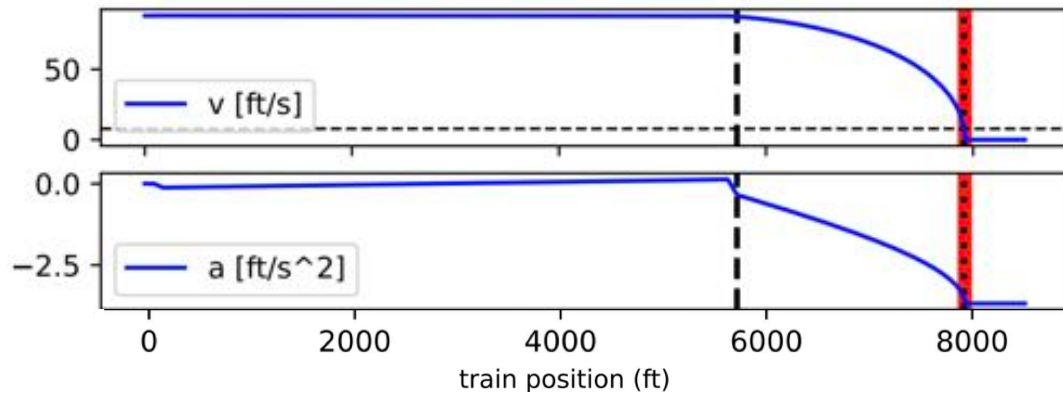


End of movement authority



Train stops

Limiting Undershoot while Maintaining Safety



Start braking



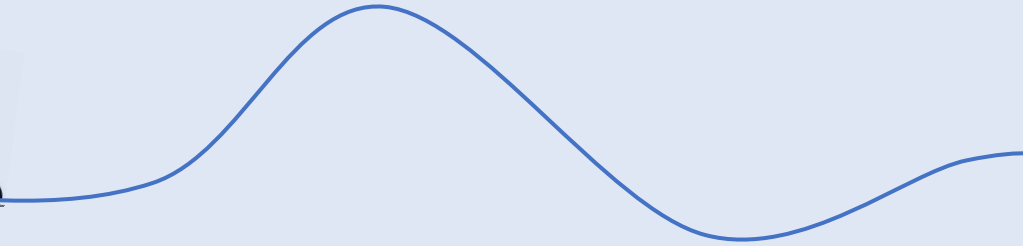
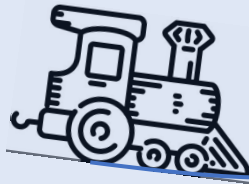
End of movement authority



Train stops

Summary

Proofs: <https://doi.org/10.1184/R1/19542610>



Verified controller for full FRA model dynamics. KeYmaera X proofs available online

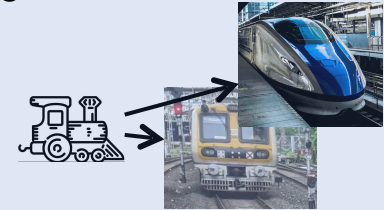
Generalizable Techniques

- Dealing with unknown functions
- Circular dependencies
- Taylor polynomials
- Ghost dynamics



Verified Model Generalizability


- Abstraction of physical details
- Nondeterministic controller



Experiments

Controller limits undershoot while maintaining safety





Pt 2: CESAR: Control Envelope Synthesis via Angelic Refinements

Aditi Kabra

Jonathan Laurent

Stefan Mitsch

André Platzer

Overview

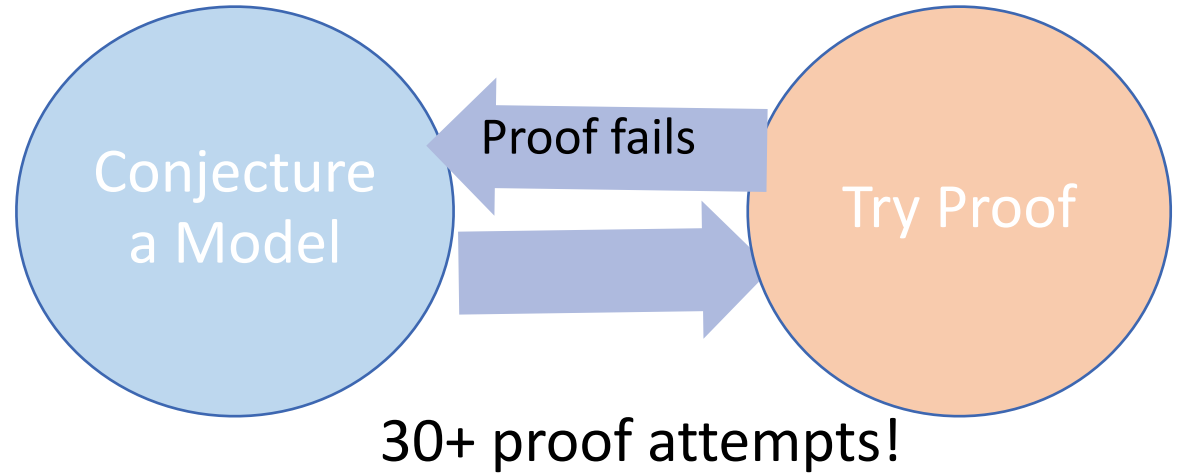
Part 2: Synthesis

- **Introduction**
- Problem Statement
- Game Logic and Solution
- Refinement
- Evaluation

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Design by proof

Can we automate it?



FRA Model
(a few
equations)

```

defState
  // Controller configuration
  Real ctrl;
  Real v1; // Controller output
  Real v2; // Controller output
  Real v3; // Controller output
  Real v4; // Controller output
  Real v5; // Controller output
  Real v6; // Controller output
  Real v7; // Controller output
  Real v8; // Controller output
  Real v9; // Controller output
  Real v10; // Controller output
  Real v11; // Controller output
  Real v12; // Controller output
  Real v13; // Controller output
  Real v14; // Controller output
  Real v15; // Controller output
  Real v16; // Controller output
  Real v17; // Controller output
  Real v18; // Controller output
  Real v19; // Controller output
  Real v20; // Controller output
  Real v21; // Controller output
  Real v22; // Controller output
  Real v23; // Controller output
  Real v24; // Controller output
  Real v25; // Controller output
  Real v26; // Controller output
  Real v27; // Controller output
  Real v28; // Controller output
  Real v29; // Controller output
  Real v30; // Controller output
  Real v31; // Controller output
  Real v32; // Controller output
  Real v33; // Controller output
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  Real v35; // Controller output
  Real v36; // Controller output
  Real v37; // Controller output
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  Real v40; // Controller output
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  Real v42; // Controller output
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  Real v44; // Controller output
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  Real v89; // Controller output
  Real v90; // Controller output
  Real v91; // Controller output
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  Real v93; // Controller output
  Real v94; // Controller output
  Real v95; // Controller output
  Real v96; // Controller output
  Real v97; // Controller output
  Real v98; // Controller output
  Real v99; // Controller output
  Real v100; // Controller output

```

Formal Model

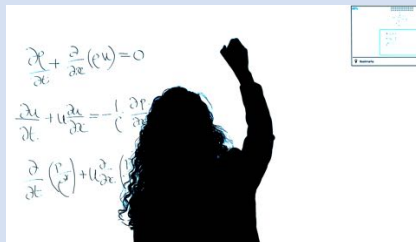
```

KeYmaera X
Theorem Prover
Proof: ✓ All goals closed

```


Synthesis Pipeline

Model Template



Synthesis procedure fills out the hard parts



Control Envelope

```
28 // Return acceleration due to slope w/
29 Real maxSlopeAcc(Real slopeAcc, Real vel) = min(slopeAcc, maxVelCurvTweel, maxSlope);
30 // Return acceleration due to curve (positive since James Bond drives!) w/
31 Real maxCurvAcc(Real curvRate, Real vel) = max(curvRate, minSlopeAcc);
32 // The train will stop if at most this much distance if braking from speed vel. w/
33 Real brakingDistance(Real vel, Real haultMag) =
34   (vel - minMag) / haultMag;
35 // The train will stop if at most this much distance if it accelerates for a time period and then br
36 Real stoppingDistance(Real trainPos, Real vel) = brakingDistance(upperVel, vel, slopeAcc(trainPos
37 // The train will stop if at most this much distance if it accelerates for a time period and then br
38 Real stoppingDistanceTaylor(Real trainPos, Real vel) = brakingDistanceTaylorForFixedVel, vel, slope
39 // Independent part of the acceleration that is always negative (good resistance) - a_r in th
40 Real resistance(Real vel) = aLevel * aLevel;
41 // Total acceleration acting on the train at any point for a control acceleration trainAcc at vel
42 Real acc(Real trainAcc, Real vel, Real trainPos) = trainAcc + slopeAcc(trainPos) + resistance(vel);
43 // Other stuff we use (mostly) throughout the code period. w/
44 Real upperVel(Real trainAcc, Real vel, Real slopeAcc, Real curvRate) = vel
45   + (trainAcc + slopeAcc(slopeAcc, haultUpperVel(trainAcc, vel))
46     * maxCurvRate, haultUpperVel(trainAcc, vel)) / 2;
```

Related work

Other Work

This Work

Controller Synthesis Techniques

7. Belta, C., Yordanov, B., Gol, E.A.: Formal Methods for Discrete-Time Dynamical Systems. Springer Cham (2017)
21. Liu, S., Trivedi, A., Yin, X., Zamani, M.: Secure-by-construction synthesis of cyber-physical systems. Annual Reviews in Control **53**, 30–50 (2022). doi: <https://doi.org/10.1016/j.arcontrol.2022.03.004>
24. Moor, T., Davoren, J.M.: Robust controller synthesis for hybrid systems using modal logic. In: Benedetto, M.D.D., Sangiovanni-Vincentelli, A.L. (eds.) HSCC. LNCS, vol. 2034, pp. 433–446. Springer (2001)

Controller *Envelope* Synthesis

- Bounds permissible controllers
- Permits separation of safety critical and secondary concerns
- Can be used, e.g., as trusted envelope for machine learning

Numerical Safety Shields

1. Safe Reinforcement Learning via Shielding, Alshiekh et al, AAAI 2018
2. Safe Reinforcement Learning via Formal Methods, Fulton et al, AAAI 2018
3. ModelPlex: Verified Runtime Validation of Verified Cyber-Physical System Model, RV 2014

Symbolic

- Good for high dimension, infinite space/time problems
- Statically computable

Manual Verified Design Case Studies

1. Platzer, A., Quesel, J.: European train control system: A case study in formal verification. In: Formal Methods and Software Engineering, 11th International Conference on Formal Engineering Methods, ICFEM 2009, Rio de Janeiro, Brazil, December 9-12, 2009.

Automated

- Faster
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Related work

Other Work

Controller Synthesis Techniques

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Controller *Envelope* Synthesis

- Bounds permissible controllers
- Permits separation of safety critical and secondary concerns
- Can be used, e.g., as trusted envelope for machine learning

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Overview

Part 2: Synthesis

- Introduction
- **Problem Statement**
- Game Logic and Solution
- Refinement
- Evaluation

Problem

Fill in holes (\square) in a template with a propositional formula.

$\text{prob} \equiv \text{assum} \wedge \square \rightarrow [((\bigcup_i (? \square_i ; \text{act}_i)) ; \text{plant})^*] \text{ safe}.$

Problem

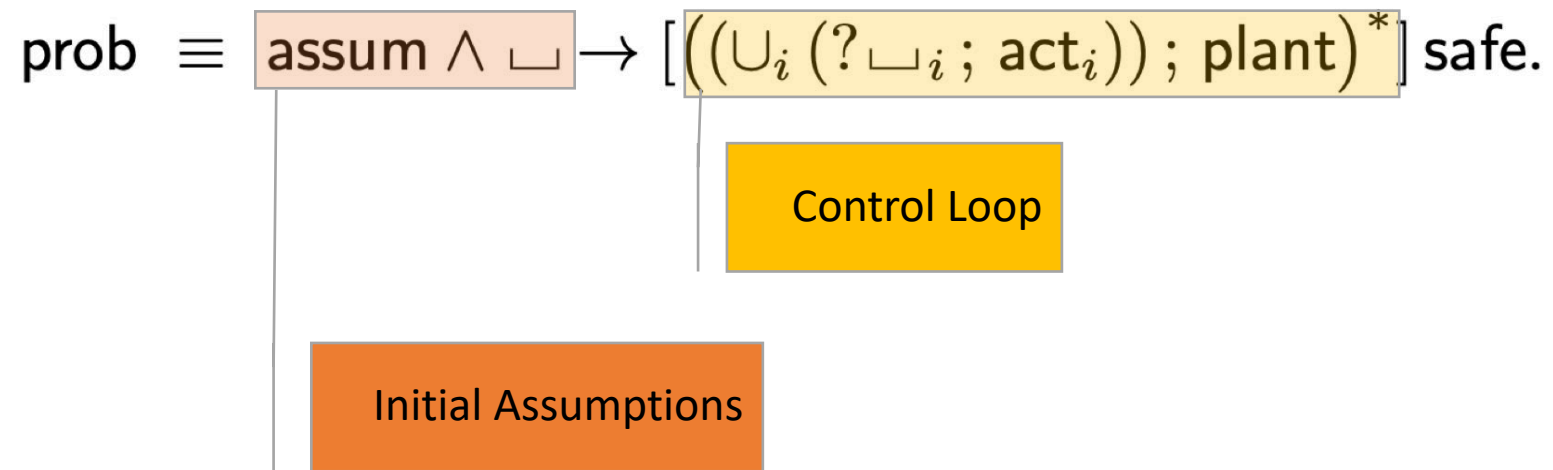
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Initial Assumptions

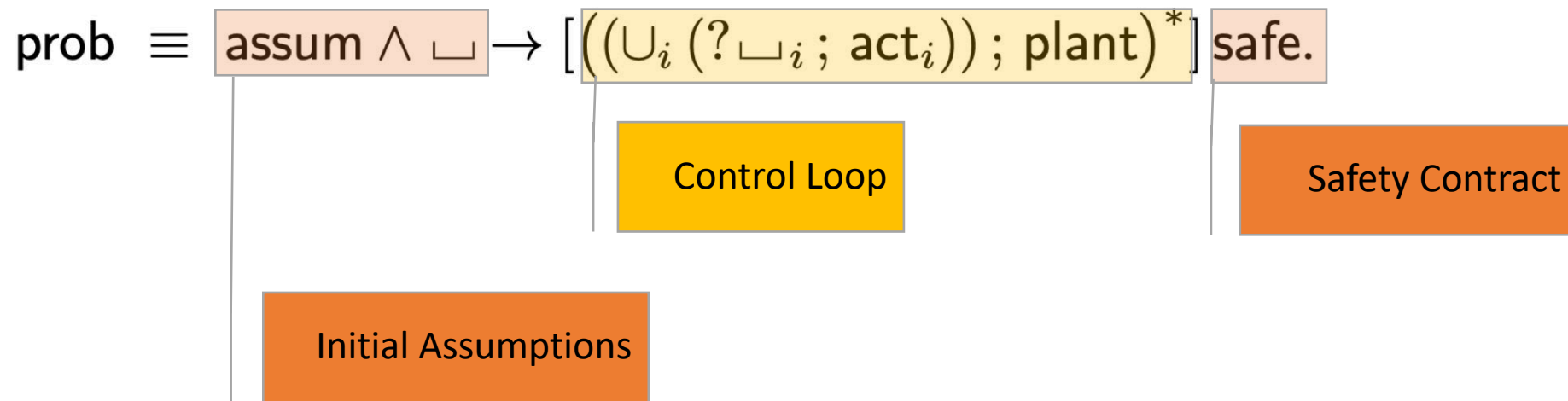
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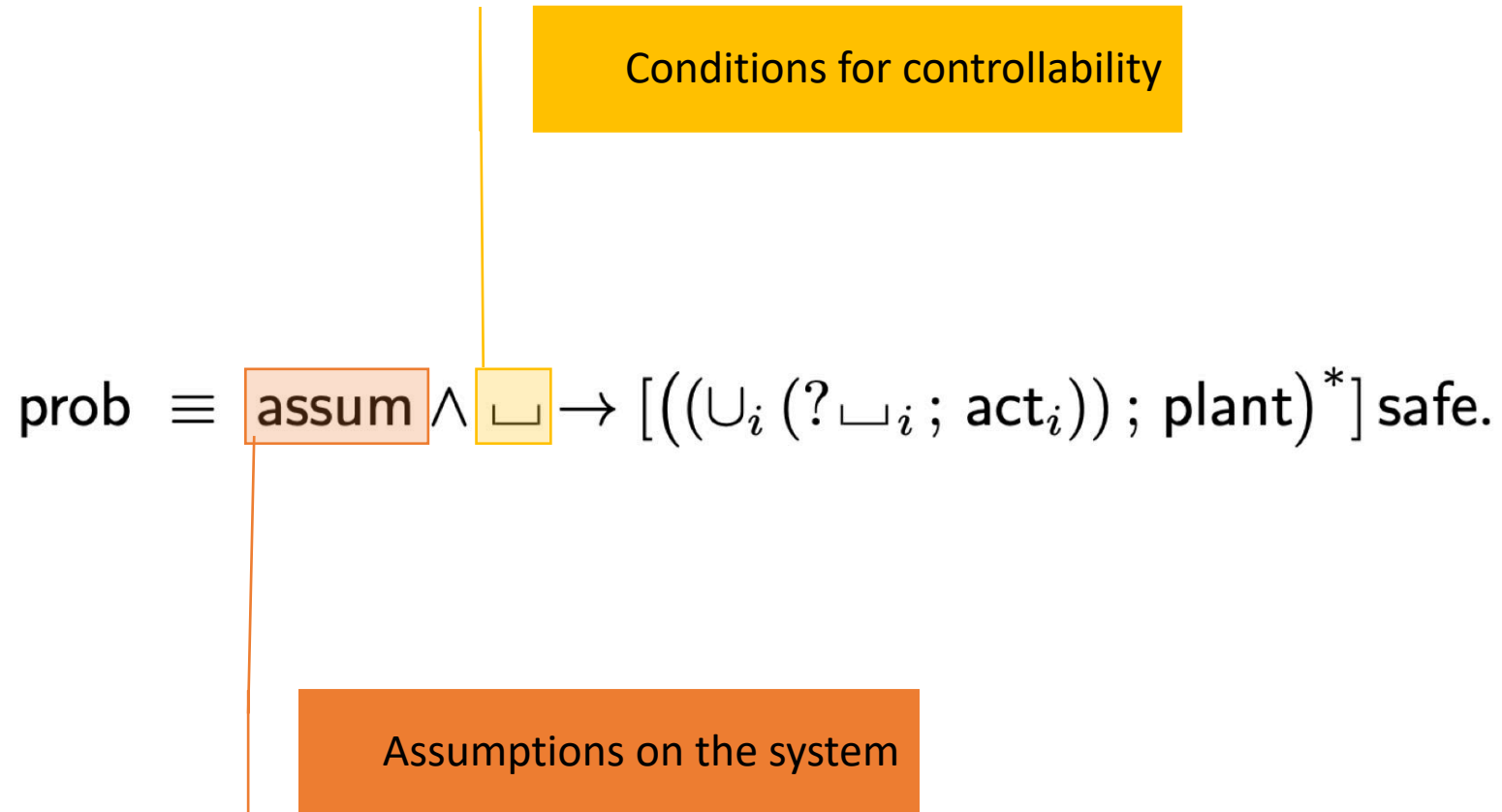
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Assumptions on the system

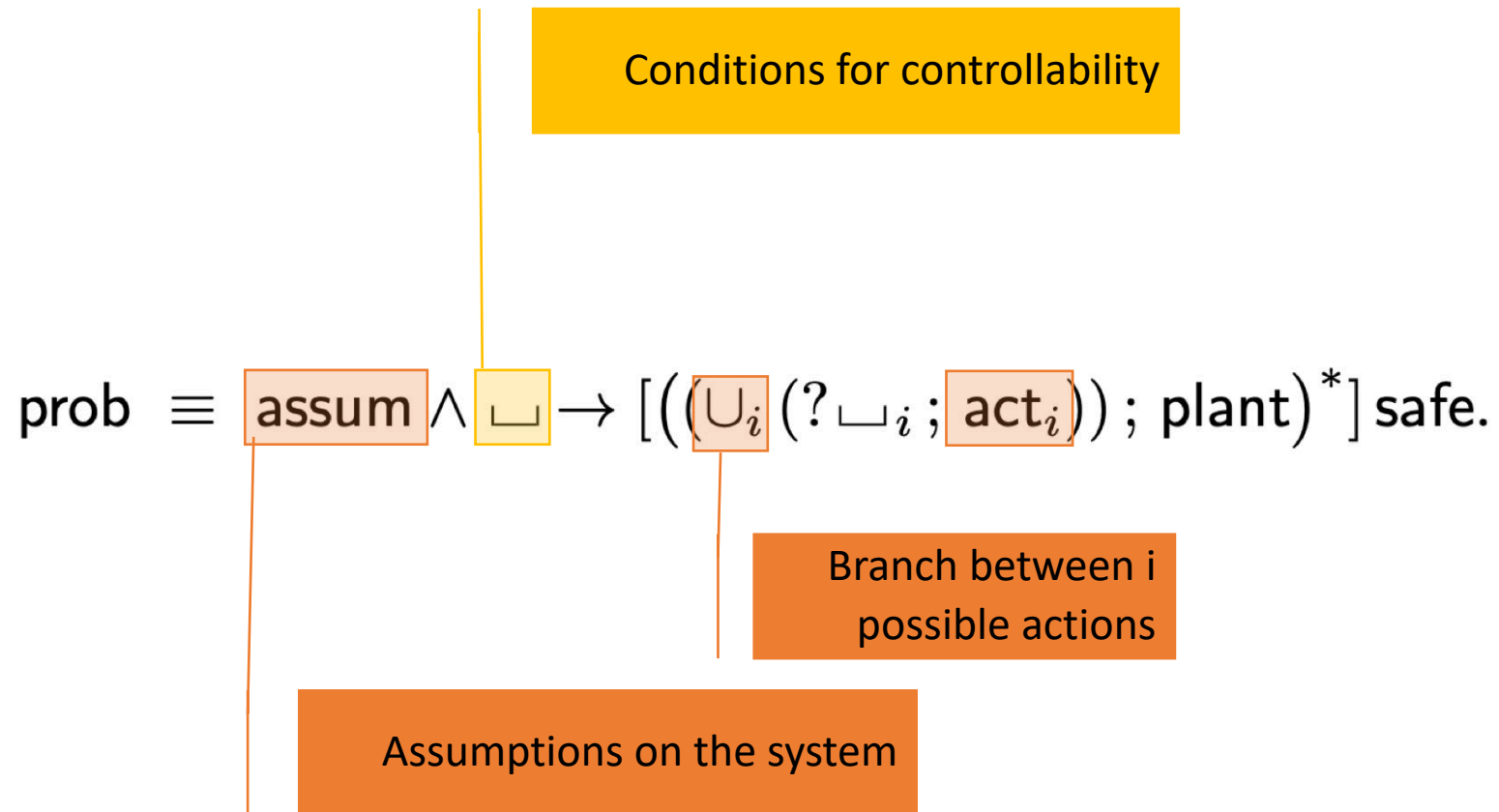
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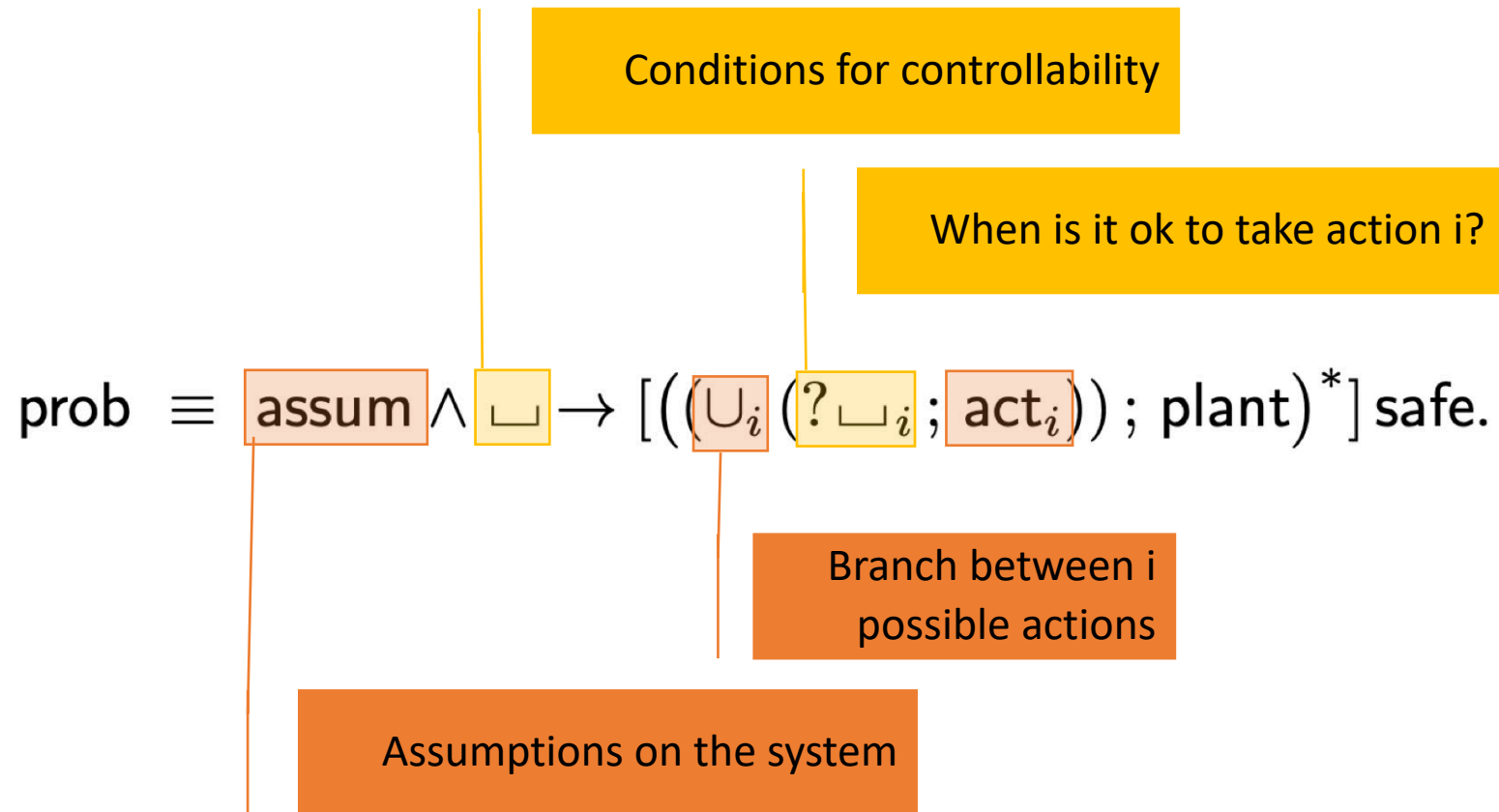
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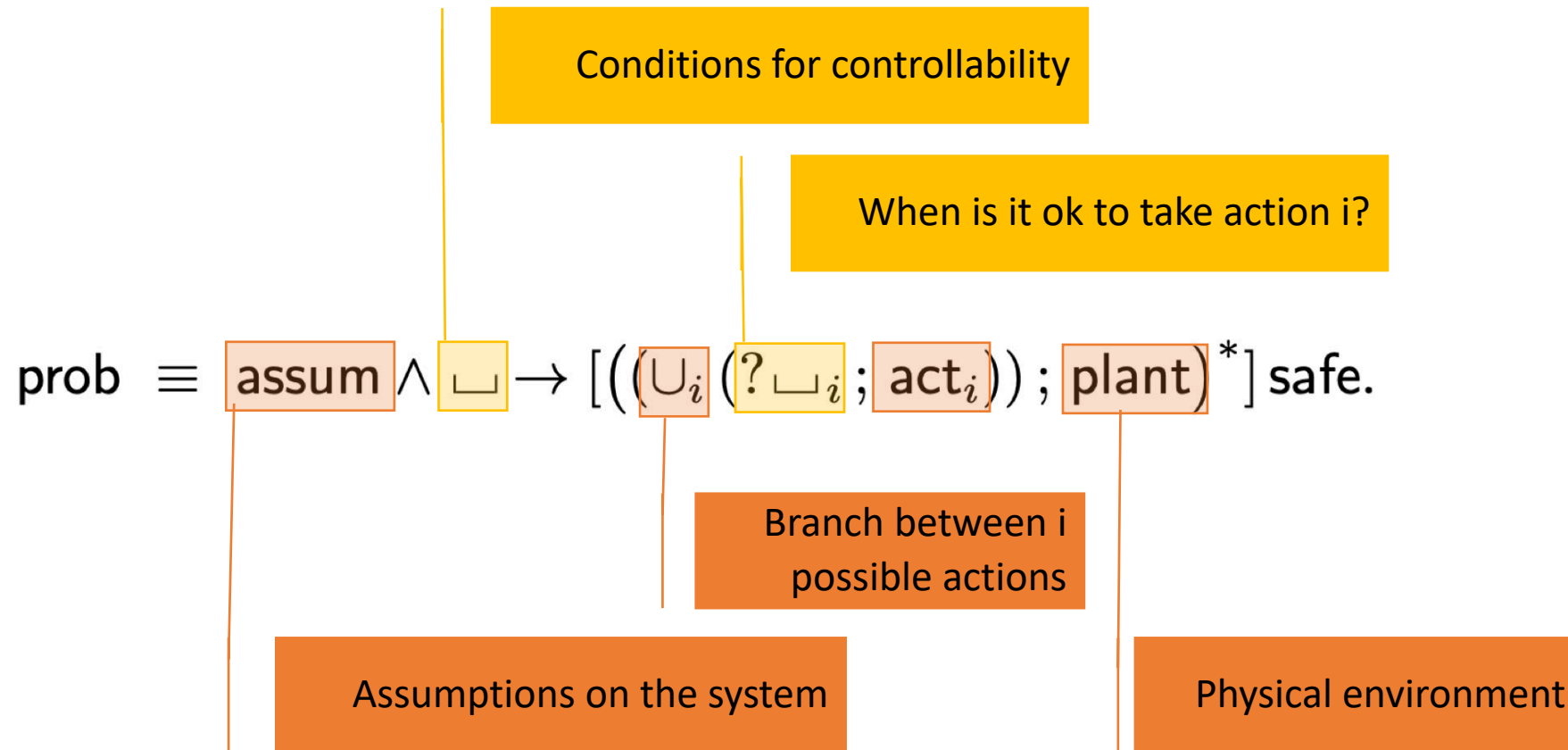
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Model 1 The train ETCS model (slightly modified from [29]). Framed parts can be automatically synthesized by our proposed tool.

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assum | 1 $A > 0 \wedge B > 0 \wedge T > 0 \wedge v \geq 0$

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assum | 1 $A > 0 \wedge B > 0 \wedge T > 0 \wedge v \geq 0$

ctrlable | 2 $\wedge \square \rightarrow \{ \square \}$ Conditions from necessary to safety

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| 3 $(? \square); a := A)$

When is it ok to accelerate?

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ctrl | 3 ((?); $a := A$)

4 \cup (? ; $a := -B$));

When is it ok to brake?

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ctrl | 4 $\cup (? \square); a := -B));$

plant | 5 $(t := 0; \{p' = v, v' = a, t' = 1 \ \& \ t \leq T \wedge v \geq 0\})$

System differential equation

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		3	$(? \square); a := A$
ctrl		4	$\cup (? \square); a := -B)$
plant		5	$(t := 0; \{ p' = v, v' = a, t' = 1 \ \& \ t \leq T \wedge v \geq 0 \}$
safe		6	$\}^*)(e - p > 0)$

Safety contract

Problem: Example Solution

Fill in holes (\square) in a template.

Example:

Model 1 The train ETCS model (slightly modified from [29]). Framed parts can be automatically synthesized by our proposed tool.

assum | 1 $A > 0 \wedge B > 0 \wedge T > 0 \wedge v \geq 0$

ctrlable | 2 $\wedge \boxed{e - p > v^2 / 2B} \rightarrow [\{$ There's enough space to stop if we start braking now

ctrl | 3 $(?\boxed{\phantom{\text{expression}}}; a := A)$

ctrl | 4 $\cup (?\boxed{\phantom{\text{expression}}}; a := -B));$

plant | 5 $(t := 0; \{p' = v, v' = a, t' = 1 \ \& \ t \leq T \wedge v \geq 0\})$

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ctrlable | 2 $\wedge \square \rightarrow \{$

ctrl | 3 $(\quad (? \square ; a := A)$

There's enough space to stop if we accelerate for one time period and then keep braking

safe | 6 $\}^*(e - p > 0)$

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assum		1	$A > 0 \wedge B > 0 \wedge T > 0 \wedge v \geq 0$
ctrlable		2	$\wedge \square e - p > v^2/2B \rightarrow \{$
		3	$(\quad (? \square e - p > vT + AT^2/2 + (v + AT)^2/2B ; a := A)$
ctrl		4	$\cup (? \square true ; a := -B) \quad);$
plant		5	$(t := 0; \{p' = v, v' = a, t' = 1 \ \& \ t \leq T \wedge v \geq 0\})$
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You never make life worse by braking

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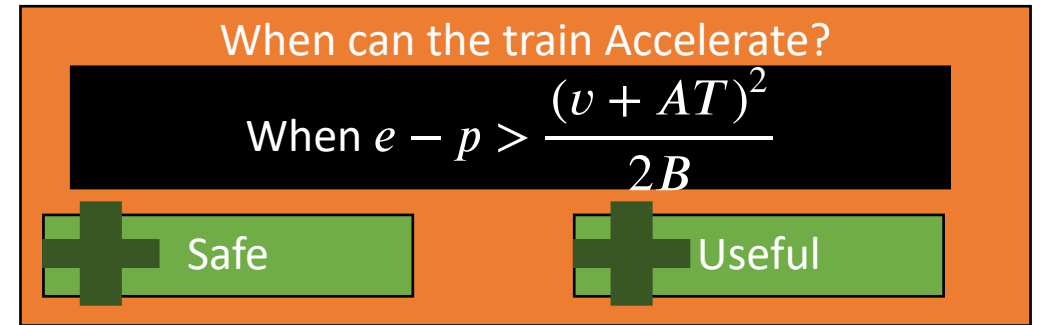
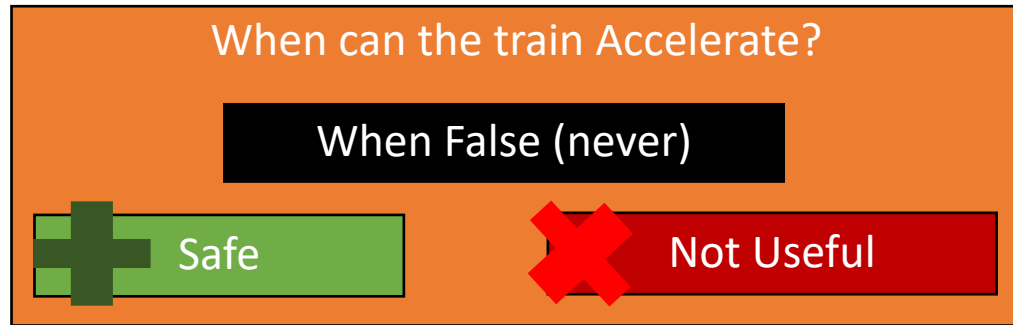
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1. Safety (valid dL formula)
2. Always some control option $((\text{assum} \wedge I) \rightarrow \forall_i G_i)$

Quality of Solution



- Good solution: more permissive
- $S' \geq S$ when $\models \text{assum} \rightarrow (I \rightarrow I')$ and $\models (\text{assum} \wedge I) \rightarrow \bigwedge_i (G_i \rightarrow G'_i)$
- Unique optimum

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Background: Game Logic

dL has nondeterminism
 $(a := A \cup a := B)$

Players resolve nondeterminism



vs



Operators

$(a := A \cup a := B)$



$(a := A \cap a := B)$



$\alpha \cap \beta, \alpha^x, ?\phi^d, \{x' = f(x)Q\}^d$



Angelic Game

$\langle (a := A \cap a := B) \rangle a = A$

Angel wins if in the end, $a = A$



Demonic Game

$[(a := A \cap a := B)] a = A$

Demon wins if in the end, $a = A$

Duality



$\neg \langle \alpha \rangle \neg P$

\leftrightarrow

$[a]P$

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Axioms

dGI without loops: translation
 in first order logic.

$[(v := 1 \cap v := -1); \{x' = v\}] x \neq 0$

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Optimal Solution

prob \equiv $\text{assum} \wedge \sqcup \rightarrow [((\cup_i (? \sqcup_i ; \text{act}_i)) ; \text{plant})^*] \text{ safe.}$

The set of all states from which a perfect controller can keep the system safe forever

$I^{\text{opt}} \equiv [((\cap_i \text{act}_i) ; \text{plant})^*] \text{ safe}$

Controller chooses in its best interest

By construction, loop invariant

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Allow any control action that is guaranteed to keep the system within I^{opt}

$G_i^{\text{opt}} \equiv [\text{act}_i ; \text{plant}] I^{\text{opt}}.$

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- Use the semantics of dGL (which are in terms of FOL^*)
- $*$ But two dGL constructions need more than FOL .
 - Loops: Defined in terms of fixed point  Approximate with "Refinement"
 - Differential equations: Presupposes an ODE solution  Approximate

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Refinement

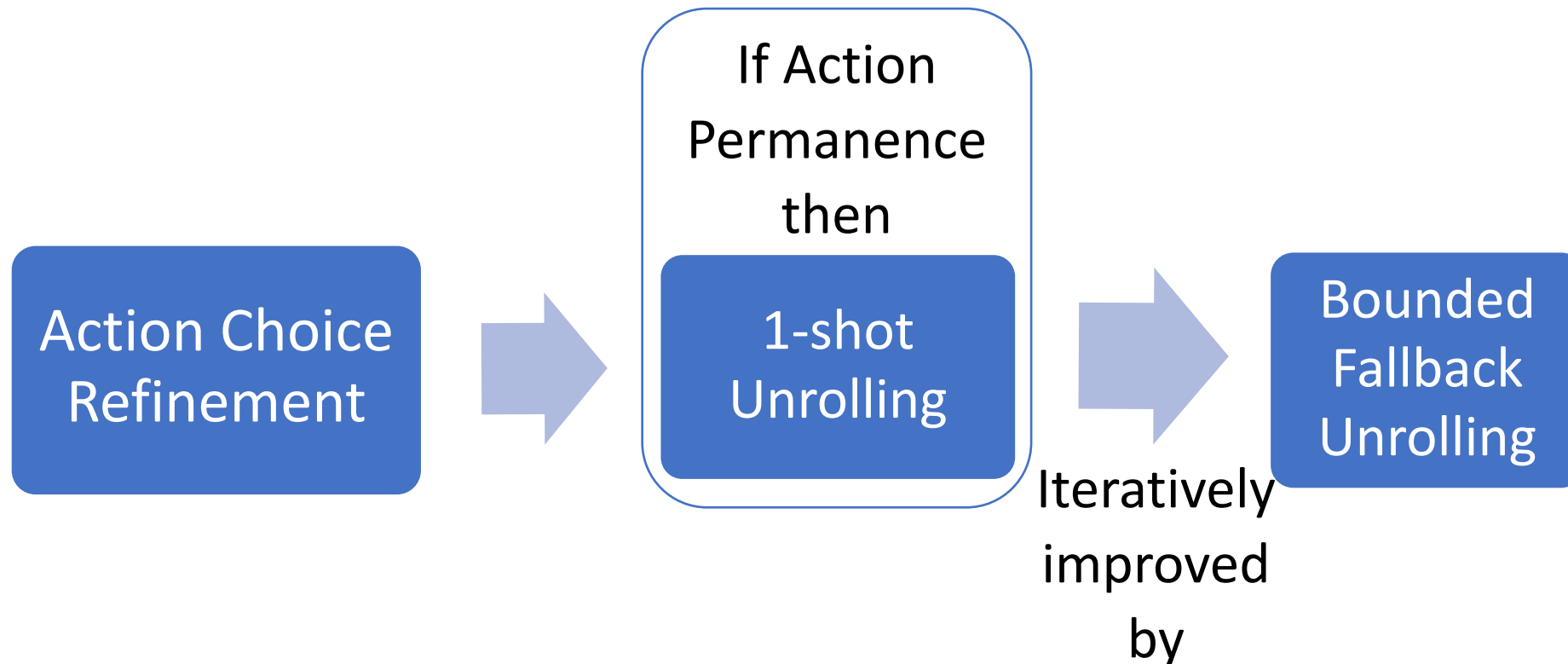
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Want to remove
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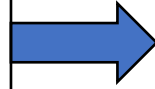
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Action Choice Refinement

The game obtained by restricting the controller to one action

$$\left[\left(\begin{array}{l} a := -B; t := 0; \\ \left\{ p' = v, v' = a, t' = 1 \mid t \leq T \wedge v \geq 0 \right\} \end{array} \right)^* \right] e - p > 0$$



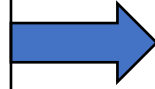
Is harder than the game where the controller chooses between multiple actions

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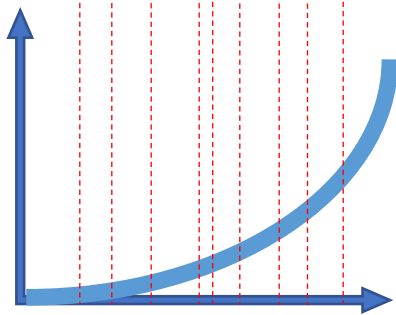
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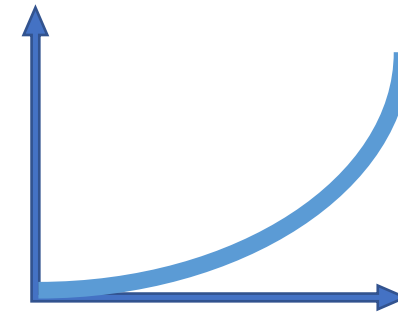
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One Shot Unrolling



If you repeat a time bounded ODE

$$\left[\left(\begin{array}{l} a := -B; t := 0; \\ \left\{ p' = v, v' = a, t' = 1 \mid t \leq T \wedge v \geq 0 \right\} \end{array} \right)^* \right] e - p > 0$$



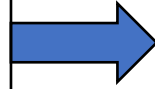
That's like executing the ODE for arbitrarily long

$$\left[a := -B; \left\{ p' = v, v' = a, t' = 1 \mid v \geq 0 \right\} \right] e - p > 0$$

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The game obtained by restricting the controller to one action

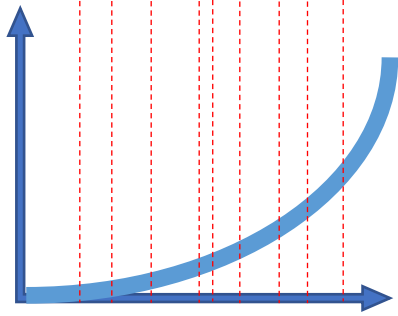
$$\left[\left(\begin{array}{l} a := -B; t := 0; \\ \left\{ p' = v, v' = a, t' = 1 \mid t \leq T \wedge v \geq 0 \right\} \end{array} \right)^* \right] e - p > 0 \quad \textcircled{2}$$



Is harder than the game where the controller chooses between multiple actions

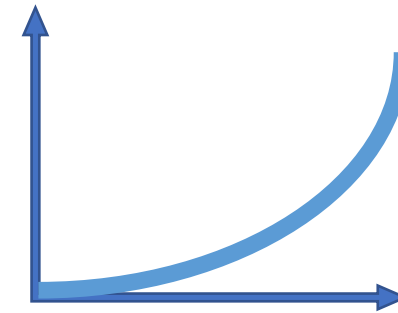
$$\left[\left(\begin{array}{l} (a := -B \cap a := A); t := 0; \\ \left\{ p' = v, v' = a, t' = 1 \mid t \leq T \wedge v \geq 0 \right\} \end{array} \right)^* \right] e - p > 0 \quad \textcircled{1}$$

One Shot Unrolling



If you repeat a time bounded ODE

$$\left[\left(\begin{array}{l} a := -B; t := 0; \\ \left\{ p' = v, v' = a, t' = 1 \mid t \leq T \wedge v \geq 0 \right\} \end{array} \right)^* \right] e - p > 0 \quad \textcircled{3}$$



That's like executing the ODE for arbitrarily long

$$\left[a := -B; \left\{ p' = v, v' = a, t' = 1 \mid v \geq 0 \right\} \right] e - p > 0 \quad \textcircled{4}$$

One Shot Refinement

$$\left[a := -B; \left\{ p' = v, v' = a, t' = 1 \mid v \geq 0 \right\} \right] e - p > 0$$



Symbolic Execution

$$\forall t (v - Bt \geq 0 \rightarrow p + vt - \frac{Bt^2}{2} > e)$$



Quantifier Elimination

$$I = \boxed{p + \frac{v^2}{2B} > e}$$

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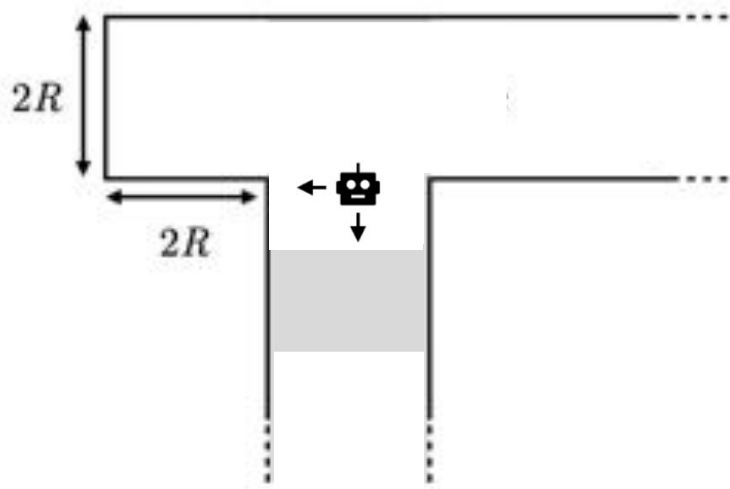
Quantifier Elimination

$$I = \boxed{p + \frac{v^2}{2B} > e}$$

- ▶ Action permanence: $(\text{act}_i ; \text{plant} ; \text{act}_i) \equiv (\text{act}_i ; \text{plant})$
- ▶ In practice: when a control action corresponds to a “mode” of behavior.

One-shot Unrolling: Example

- 1-shot unrolling lets the controller choose one action and run it forever.



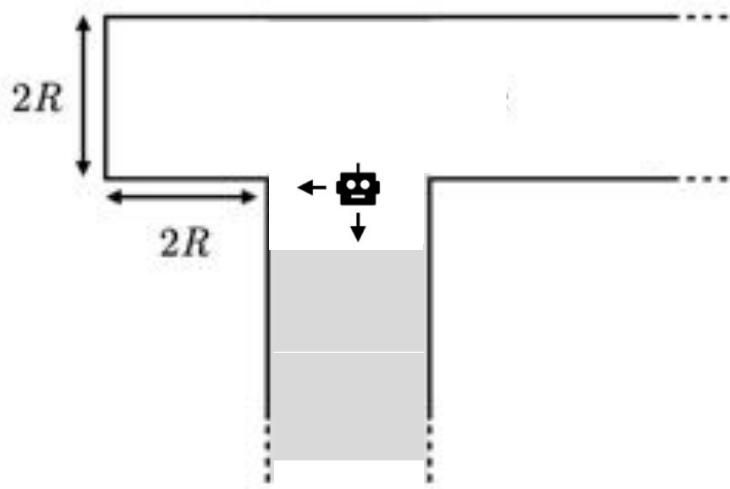
1 iteration

1-shot unroll

2-shot unroll

One-shot Unrolling: Example

- 1-shot unrolling lets the controller choose one action and run it forever.



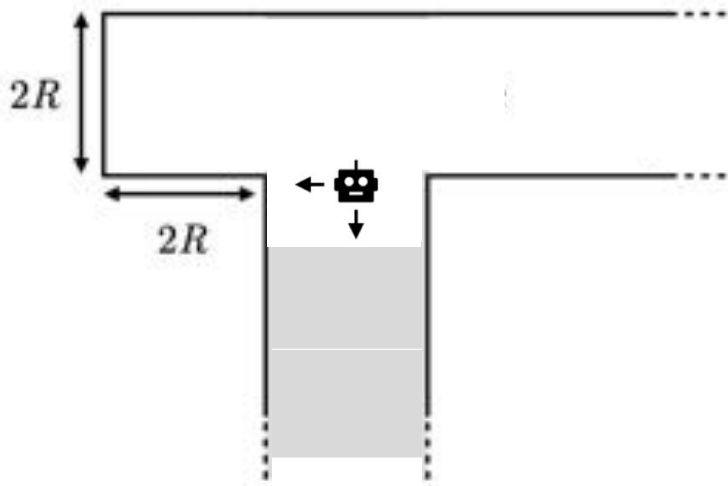
2 iterations

1-shot unroll

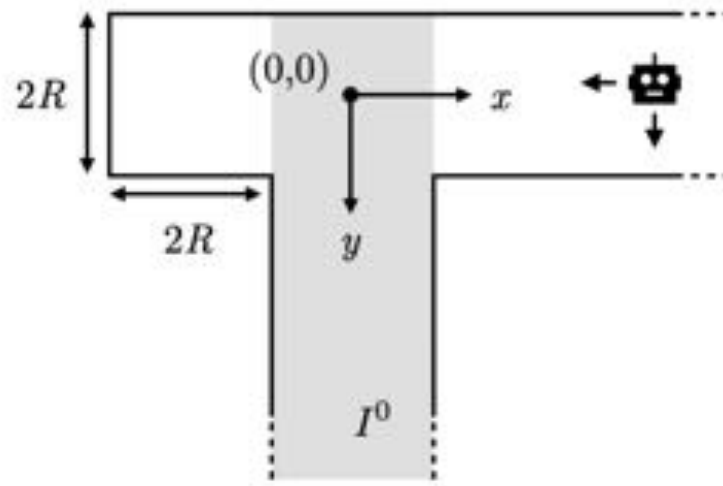
2-shot unroll

One-shot Unrolling: Example

- 1-shot unrolling lets the controller choose one action and run it forever.



2 iterations

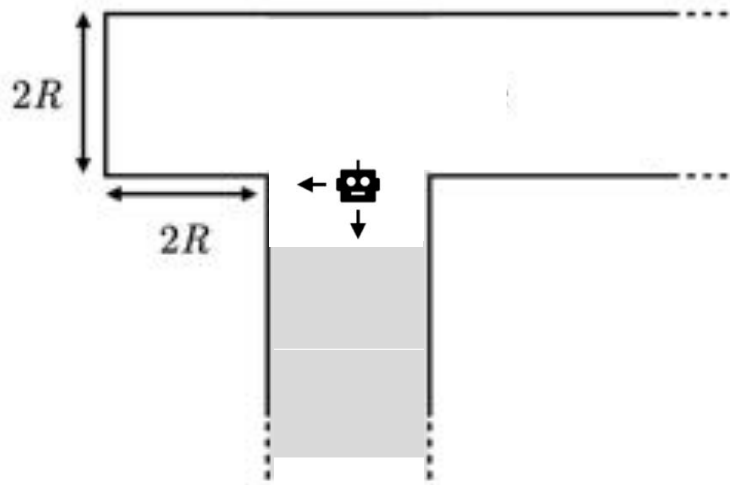


1-shot unroll

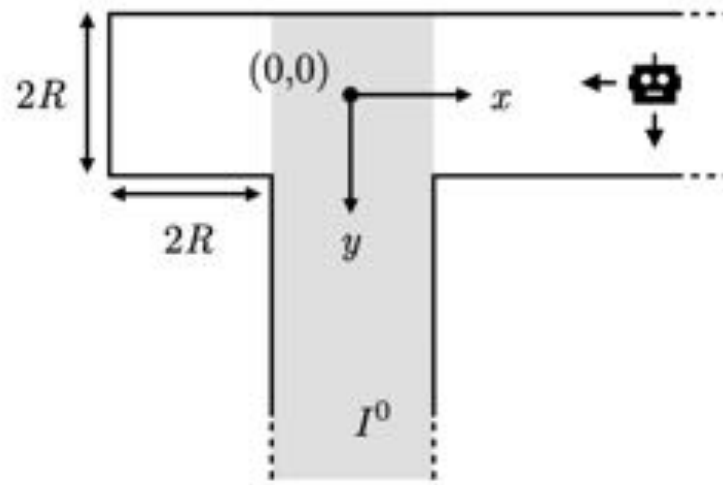
2-shot unroll

One-shot Unrolling: Example

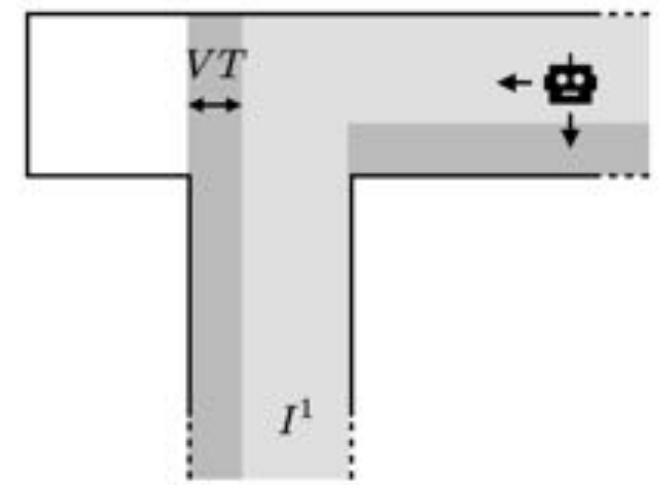
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2 iterations



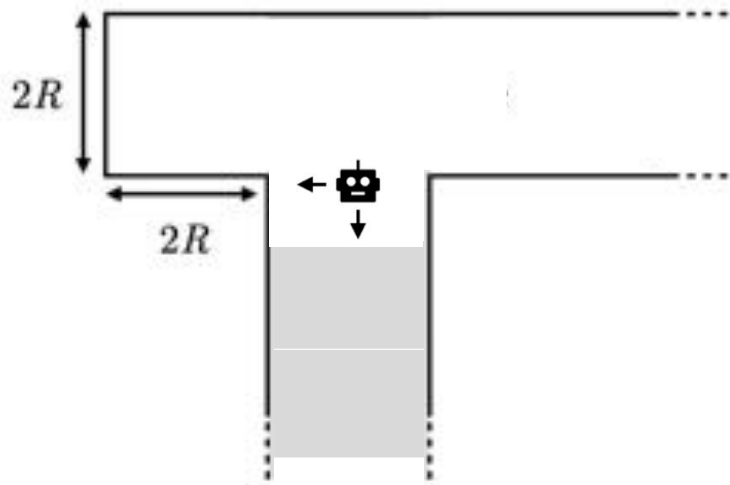
1-shot unroll



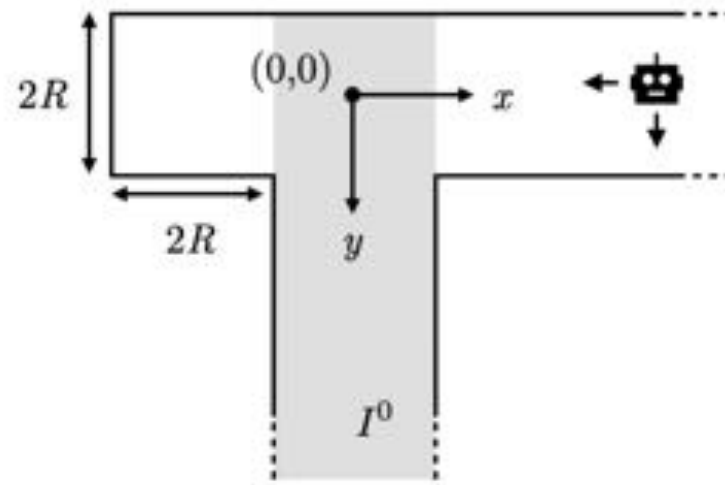
2-shot unroll

One-shot Unrolling: Example

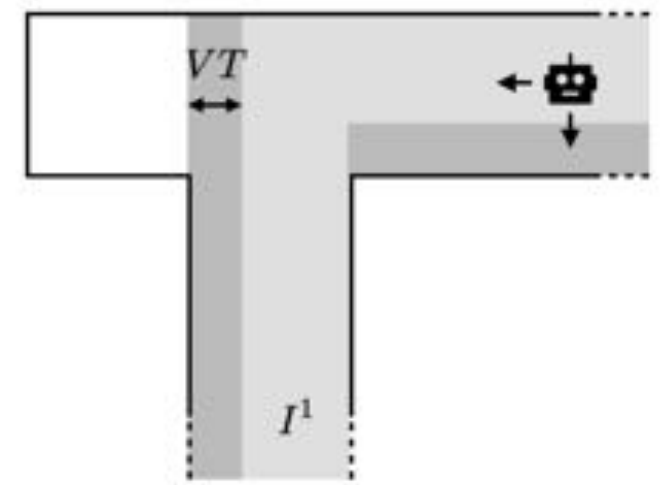
- 1-shot unrolling lets the controller choose one action and run it forever.
- Bounded unrolling allows a “switch” in action choice



2 iterations



1-shot unroll



2-shot unroll

Bounded Unrolling

- n switches to reach the region I_o in which safety is guaranteed indefinitely
- Controller has chance to switch within $[\theta, \theta + T]$ window because plant can never execute for time greater than T

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forever $\equiv (\bigcap_{i \in P} \text{act}_i); \text{plant}_\infty$

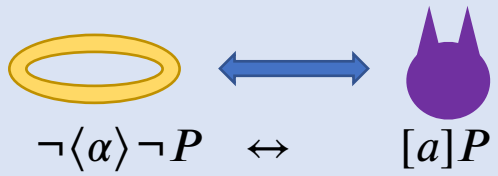
$\text{step} \equiv (\theta := *; ?\theta \geq 0)^d;$	$(\bigcap_{i \in P} \text{act}_i); \text{plant}_{\theta+T};$	$? \text{safe}^d;$	$?t \geq \theta$
Controller chooses some time θ in the future	For a controller choice chosen up to time θ	While staying safe	By time θ the controller reaches established safe region I^{n-1}

$$I^{n+1} \equiv I^n \vee [\text{step}] I^n$$

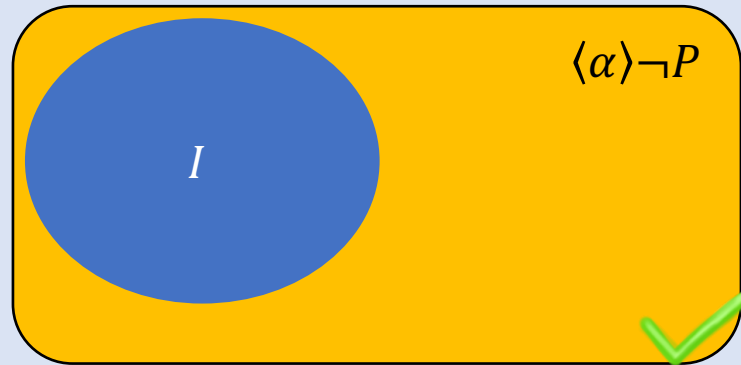
$$I^0 \equiv [\text{forever}] \text{safe}$$

Dual Game

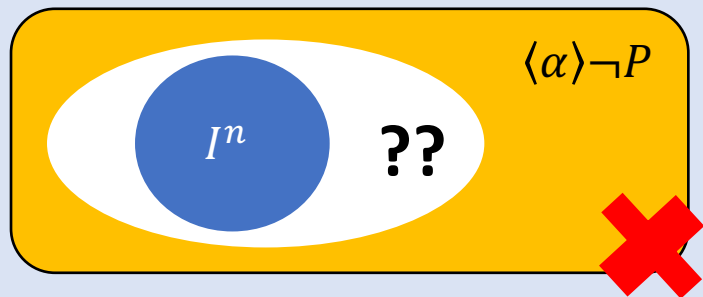
Duality



Optimal?



or



Check Environment Game
 $(\langle\alpha\rangle\neg P)$



Algorithm: CESAR

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 - Unrolling budget reached

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 - Unrolling budget reached
- With resulting I , compute each hole fill using

$$G_i \equiv [\text{act}_i ; \text{plant}] I$$

Overview

Part 2: Synthesis

- Introduction
- Problem Statement
- Game Logic and Solution
- Refinement
- **Evaluation**

Evaluation

Benchmark Suite with different control challenges

Table 2: Summary of the benchmark suite and most important control challenges.

Benchmark	Control Feature Introduced
Gears	Many (namely 8) actions to choose from.
ETCS	Nondeterministic, bounded acceleration (from case study [29]).
Table Tennis	Introduce two-dimensional motion.
Reservoir	Dynamics mixes variables that controller can and can't influence.
Reaction	Conjunctive safety constraints.
Merge	Disjunctive safety constraints.
Wall	Requires state-dependent fallback actions.
Parachute	Action switching restricted: cannot close parachute once open.
Corridor	Requires unrolling fallback for optimal synthesis (Fig. 1).
Sputtering Car	Unsolvable continuous dynamics.

Evaluation

Benchmark	Synthesis Time (s)	Memory (MB)	Checking Time (s)
Gears	5.97	41.30	2.6
ETCS	4.32	40.96	7.6
Table Tennis	2.79	40.13	1.4
Reservoir	4.95	39.99	2.1
Reaction	9.93	41.10	3.1
Merge	3.30	40.22	4.7
Wall	3.74	40.33	11.7
Parachute	3.37	40.16	5.0
Corridor*	7.14	41.71	1.9
Sputtering Car	2.12	39.63	1.1

Future Work

- Handle hard dynamics

Unknown
Functions

Circular
Dependencies

Taylor
Polynomials

Ghost
Dynamics

- Generalize to differential game logic

Time
Triggered
Control

Event
Triggered
Control

Free
Assignments

Adversarial
Agents

Thank You!



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